

Type Systems and Functional Programming

Mihnea Muraru

`mihnea.muraru@upb.ro`

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Part I

Introduction



Contents

Objectives

Functional programming



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Objectives

Functional programming



Grading

- ▶ Lab: 60, ≥ 30
- ▶ Exam: 40, ≥ 20
- ▶ Final grade ≥ 50



Course objectives

- ▶ Study the characteristics of **functional programming**, such as *lazy evaluation* and *type systems* of different strengths
- ▶ Learn advanced mechanisms of the **Haskell** language, which are impossible or difficult to simulate in other languages
- ▶ Apply this apparatus to model **practical problems**



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- ▶ Study the characteristics of **functional programming**, such as *lazy evaluation* and *type systems* of different strengths
- ▶ Learn advanced mechanisms of the **Haskell** language, which are impossible or difficult to simulate in other languages
- ▶ Apply this apparatus to model **practical problems**, e.g. program synthesis, lazy search, probability spaces



Main lab outcome

An **evaluator** for a functional language,
equipped with a **type synthesizer**



Contents

Objectives

Functional programming



Functional programming features

- ▶ Mathematical **functions**, as value transformers



Functional programming features

- ▶ Mathematical **functions**, as value transformers
- ▶ Functions as **first-class values**

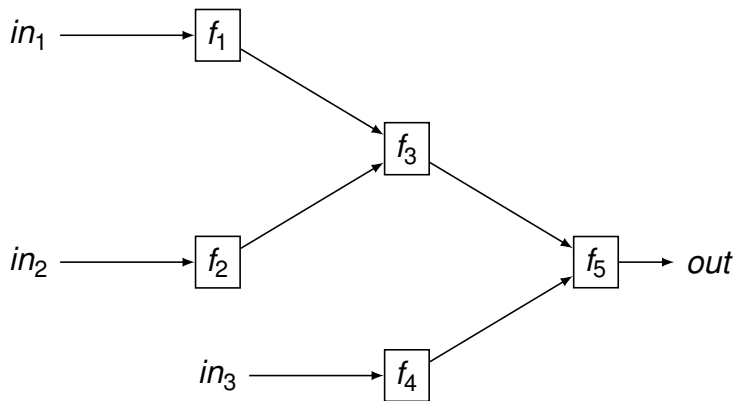


Functional programming features

- ▶ Mathematical **functions**, as value transformers
- ▶ Functions as **first-class values**
- ▶ **No** side effects or state

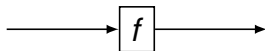


Functional flow



Stateless computation

Output dependent on **input** exclusively:

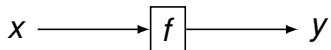


t_0



Stateless computation

Output dependent on **input** exclusively:

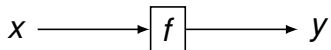


t_1



Stateless computation

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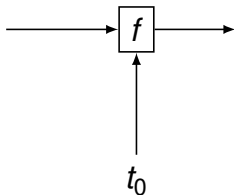


t_2



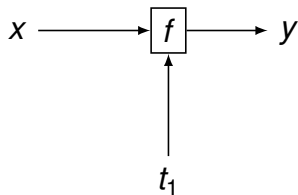
Stateful computation

Output dependent on input and **time**:



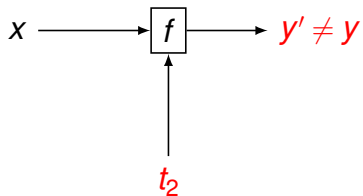
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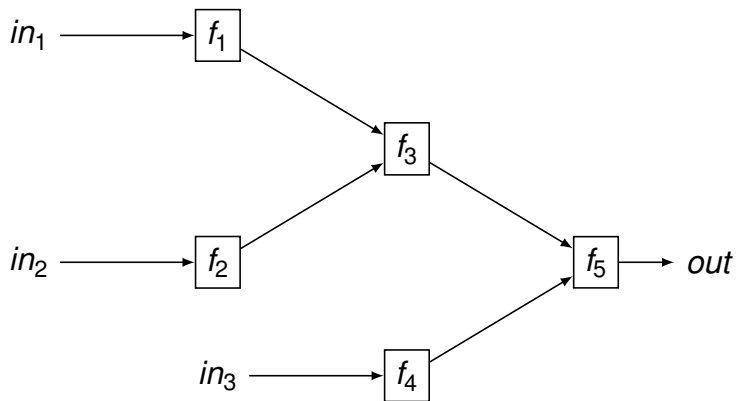
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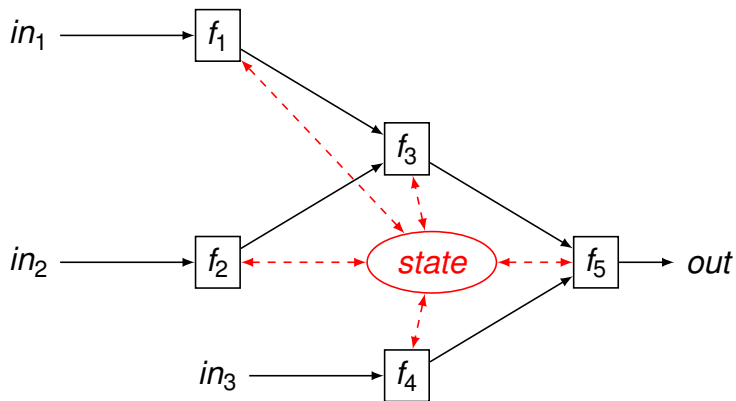
Functional flow

Pure



Functional flow

Impure



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- ▶ Immutability
- ▶ Referential transparency



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- ▶ Recursion



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- ▶ Higher-order functions



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- ▶ Recursion
- ▶ Higher-order functions
- ▶ Lazy evaluation



Why functional programming?

- ▶ Simple evaluation model; equational reasoning



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- ▶ Declarative



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- ▶ Embedded Domain Specific Languages (EDSLs)



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- ▶ Massive parallelization
- ▶ Type systems and logic, inextricably linked
- ▶ Automatic program verification and synthesis



Part II

Untyped Lambda Calculus



Contents

Introduction

Lambda expressions

Reduction

Normal forms

Evaluation order



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Untyped lambda calculus

- ▶ Model of **computation** — Alonzo Church, 1932



Untyped lambda calculus

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- ▶ **Equivalent** to the Turing machine (see the Church-Turing thesis)



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Untyped lambda calculus

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- ▶ Main building block: the **function**
- ▶ Computation: evaluation of function applications, through **textual substitution**
- ▶ **Evaluate** = obtain a value (a *function*)!



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- ▶ **No** side effects or state



Applications

- ▶ Theoretical basis of numerous **languages**:
 - ▶ LISP
 - ▶ Scheme
 - ▶ Haskell
 - ▶ ML
 - ▶ F#
 - ▶ Clean
 - ▶ Clojure
 - ▶ Scala
 - ▶ Erlang



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- ▶ Formal program **verification**, due to its simple execution model



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λ -expressions

Definition

Definition 4.1 (λ -expression).

- ▶ **Variable:** a variable x is a λ -expression



λ -expressions

Definition

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- ▶ **Function**: if x is a variable and E is a λ -expression, then $\lambda x.E$ is a λ -expression, which stands for an anonymous, unary function, with the formal parameter x and the body E



λ -expressions

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Definition 4.1 (λ -expression).

- ▶ **Variable**: a variable x is a λ -expression
- ▶ **Function**: if x is a variable and E is a λ -expression, then $\lambda x.E$ is a λ -expression, which stands for an anonymous, unary function, with the formal parameter x and the body E
- ▶ **Application**: if E and A are λ -expressions, then $(E A)$ is a λ -expression, which stands for the application of the expression E onto the actual argument A .



λ -expressions

Examples

Example 4.2 (λ -expressions).

- ▶ $x \rightarrow$ variable x



λ -expressions

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- ▶ $\lambda x.\lambda y.x$: a function with another function as body!



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- ▶ $\lambda x.x$: the identity function
- ▶ $\lambda x.\lambda y.x$: a function with another function as body!
- ▶ $(\lambda x.x y)$: the application of the identity function onto the actual argument y



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- ▶ $\lambda x.x$: the identity function
- ▶ $\lambda x.\lambda y.x$: a function with another function as body!
- ▶ $(\lambda x.x y)$: the application of the identity function onto the actual argument y
- ▶ $(\lambda x.(x x) \lambda x.x)$



Intuition on application evaluation

$$(\lambda x . x \ y)$$


Intuition on application evaluation

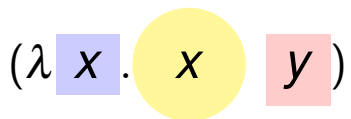
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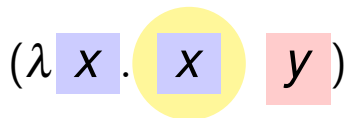
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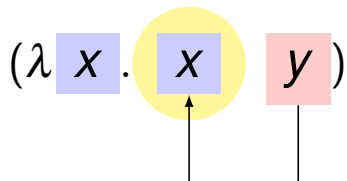


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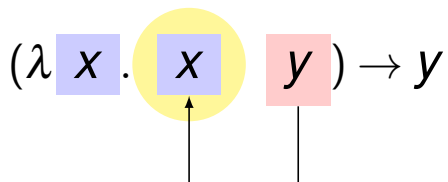
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Intuition on application evaluation



Intuition on application evaluation



Variable occurrences

Definitions

Definition 4.3 (Bound occurrence).

An occurrence x_n of a variable x is bound in the expression E iff:



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Bound/ free occurrence w.r.t. a given **expression!**



Variable occurrences

Examples

Example 4.5 (Bound and free variables).

In the expression $E = (\lambda x. x \ x)$, we emphasize the occurrences of x :

$$E = (\lambda x_1. \underbrace{x_2}_{F} \ x_3).$$



Variable occurrences

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Example 4.5 (Bound and free variables).

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Variable occurrences

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Example 4.6 (Bound and free variables).

In the expression $E = (\lambda x. \lambda z. (z x) (z y))$, we emphasize the occurrences of x, y, z :

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Variables

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- ▶ x_2 **free** in F !
- ▶ x **free** in E and F



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- ▶ x_2 **free** in F
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Free and bound variables

Free variables

▶ $FV(x) =$



Free and bound variables

Free variables

- ▶ $FV(x) = \{x\}$
- ▶ $FV(\lambda x.E) =$



Free and bound variables

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- ▶ $FV(x) = \{x\}$
- ▶ $FV(\lambda x.E) = FV(E) \setminus \{x\}$
- ▶ $FV((E_1 E_2)) =$



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Bound variables

- ▶ $BV(x) =$



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Bound variables

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Closed expressions

Definition 4.9 (Closed expression).

An expression that does **not** contain any free variables.



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Example 4.10 (Closed expressions).

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- ▶ $(\lambda x.x \ \lambda x.\lambda y.x)$: closed
- ▶ $(\lambda x.x \ a)$



Closed expressions

Definition 4.9 (Closed expression).

An expression that does **not** contain any free variables.

Example 4.10 (Closed expressions).

- ▶ $(\lambda x.x \ \lambda x.\lambda y.x)$: closed
- ▶ $(\lambda x.x \ a)$: open, since a is free

Remarks:

- ▶ **Free** variables may stand for other λ -expressions, as in $\lambda x.((+ \ x) \ 1)$.
- ▶ Before evaluation, an expression must be brought to the **closed** form.
- ▶ The substitution process must **terminate**.



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β -reduction

Definitions

Definition 5.1 (β -reduction).

The evaluation of the application $(\lambda x.E A)$, by **substituting** every **free** occurrence of the formal argument, x , in the function body, E , with the actual argument, A :

$$(\lambda x.E A) \rightarrow_{\beta} E_{[A/x]}.$$



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Definition 5.2 (β -redex).

The application $(\lambda x.E A)$.



β -reduction

Examples

Example 5.3 (β -reduction).

▶ $(\lambda x.x y)$



β -reduction

Examples

Example 5.3 (β -reduction).

► $(\lambda x.x y) \rightarrow_{\beta} x_{[y/x]}$



β -reduction

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Example 5.3 (β -reduction).

- ▶ $(\lambda x.x y) \rightarrow_{\beta} x_{[y/x]} \rightarrow y$
- ▶ $(\lambda x.\lambda x.x y)$



β -reduction

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Example 5.3 (β -reduction).

- ▶ $(\lambda x.x y) \rightarrow_{\beta} x_{[y/x]} \rightarrow y$
- ▶ $(\lambda x.\lambda x.x y) \rightarrow_{\beta} \lambda x.x_{[y/x]}$



β -reduction

Examples

Example 5.3 (β -reduction).

- ▶ $(\lambda x.x y) \rightarrow_{\beta} x_{[y/x]} \rightarrow y$
- ▶ $(\lambda x.\lambda x.x y) \rightarrow_{\beta} \lambda x.x_{[y/x]} \rightarrow \lambda x.x$
- ▶ $(\lambda x.\lambda y.x y)$



β -reduction

Examples

Example 5.3 (β -reduction).

- ▶ $(\lambda x.x y) \rightarrow_{\beta} x_{[y/x]} \rightarrow y$
- ▶ $(\lambda x.\lambda x.x y) \rightarrow_{\beta} \lambda x.x_{[y/x]} \rightarrow \lambda x.x$
- ▶ $(\lambda x.\lambda y.x y) \rightarrow_{\beta} \lambda y.x_{[y/x]}$



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- ▶ $(\lambda x.\lambda y.x y) \rightarrow_{\beta} \lambda y.x_{[y/x]} \rightarrow \lambda y.y$

Wrong! The free variable y **becomes** bound, changing its meaning!



β -reduction

Collisions

- ▶ Problem: within the expression $(\lambda x.E A)$:



β -reduction

Collisions

- ▶ Problem: within the expression $(\lambda x.E A)$:
 - ▶ $FV(A) \cap BV(E) = \emptyset \Rightarrow$ **correct** reduction always



β -reduction

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Example 5.4 (Bound variable renaming).

$(\lambda x.\lambda y.x y)$



β -reduction

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Example 5.4 (Bound variable renaming).

$$(\lambda x.\lambda y.x y) \rightarrow (\lambda x.\lambda z.x y)$$



β -reduction

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 - ▶ $FV(A) \cap BV(E) = \emptyset \Rightarrow$ **correct** reduction always
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Example 5.4 (Bound variable renaming).

$$(\lambda x.\lambda y.x y) \rightarrow (\lambda x.\lambda z.x y) \rightarrow_{\beta} \lambda z.x_{[y/x]}$$



β -reduction

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- ▶ Problem: within the expression $(\lambda x.E A)$:
 - ▶ $FV(A) \cap BV(E) = \emptyset \Rightarrow$ **correct** reduction always
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Example 5.4 (Bound variable renaming).

$$(\lambda x.\lambda y.x y) \rightarrow (\lambda x.\lambda z.x y) \rightarrow_{\beta} \lambda z.x_{[y/x]} \rightarrow \lambda z.y$$



α -conversion

Definition

Definition 5.5 (α -conversion).

Systematic relabeling of **bound** variables in a function:

$\lambda x.E \rightarrow_{\alpha} \lambda y.E_{[y/x]}$. Two conditions must be met.



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Example 5.6 (α -conversion).

- ▶ $\lambda x.y$



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- ▶ $\lambda x.y \rightarrow_{\alpha} \lambda y.y_{[y/x]}$



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- ▶ $\lambda x.y \rightarrow_{\alpha} \lambda y.y_{[y/x]} \rightarrow \lambda y.y$: **Wrong!**
- ▶ $\lambda x.\lambda y.x$



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Conditions:



α -conversion

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- ▶ $\lambda x.y \rightarrow_{\alpha} \lambda y.y_{[y/x]} \rightarrow \lambda y.y$: **Wrong!**
- ▶ $\lambda x.\lambda y.x \rightarrow_{\alpha} \lambda y.\lambda y.x_{[y/x]} \rightarrow \lambda y.\lambda y.y$: **Wrong!**

Conditions:

- ▶ y is **not** free in E



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Systematic relabeling of **bound** variables in a function:

$\lambda x.E \rightarrow_{\alpha} \lambda y.E_{[y/x]}$. Two conditions must be met.

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- ▶ $\lambda x.y \rightarrow_{\alpha} \lambda y.y_{[y/x]} \rightarrow \lambda y.y$: **Wrong!**
- ▶ $\lambda x.\lambda y.x \rightarrow_{\alpha} \lambda y.\lambda y.x_{[y/x]} \rightarrow \lambda y.\lambda y.y$: **Wrong!**

Conditions:

- ▶ y is **not** free in E
- ▶ a free occurrence in E **stays** free in $E_{[y/x]}$



α -conversion

Examples

Example 5.7 (α -conversion).

► $\lambda x.(x\ y) \rightarrow_{\alpha} \lambda z.(z\ y)$



α -conversion

Examples

Example 5.7 (α -conversion).

- ▶ $\lambda x.(x\ y) \rightarrow_{\alpha} \lambda z.(z\ y)$: Correct!
- ▶ $\lambda x.\lambda x.(x\ y) \rightarrow_{\alpha} \lambda y.\lambda x.(x\ y)$



α -conversion

Examples

Example 5.7 (α -conversion).

- ▶ $\lambda x.(x\ y) \rightarrow_{\alpha} \lambda z.(z\ y)$: Correct!
- ▶ $\lambda x.\lambda x.(x\ y) \rightarrow_{\alpha} \lambda y.\lambda x.(x\ y)$: **Wrong!**
 y is free in $\lambda x.(x\ y)$.
- ▶ $\lambda x.\lambda y.(y\ x) \rightarrow_{\alpha} \lambda y.\lambda y.(y\ y)$



α -conversion

Examples

Example 5.7 (α -conversion).

- ▶ $\lambda x.(x\ y) \rightarrow_{\alpha} \lambda z.(z\ y)$: Correct!
- ▶ $\lambda x.\lambda x.(x\ y) \rightarrow_{\alpha} \lambda y.\lambda x.(x\ y)$: **Wrong!**
 y is free in $\lambda x.(x\ y)$.
- ▶ $\lambda x.\lambda y.(y\ x) \rightarrow_{\alpha} \lambda y.\lambda y.(y\ y)$: **Wrong!**
The free occurrence of x in $\lambda y.(y\ x)$ becomes bound, after substitution, in $\lambda y.(y\ y)$.
- ▶ $\lambda x.\lambda y.(y\ y) \rightarrow_{\alpha} \lambda y.\lambda y.(y\ y)$



α -conversion

Examples

Example 5.7 (α -conversion).

- ▶ $\lambda x.(x\ y) \rightarrow_{\alpha} \lambda z.(z\ y)$: Correct!
- ▶ $\lambda x.\lambda x.(x\ y) \rightarrow_{\alpha} \lambda y.\lambda x.(x\ y)$: **Wrong!**
 y is free in $\lambda x.(x\ y)$.
- ▶ $\lambda x.\lambda y.(y\ x) \rightarrow_{\alpha} \lambda y.\lambda y.(y\ y)$: **Wrong!**
The free occurrence of x in $\lambda y.(y\ x)$ becomes bound, after substitution, in $\lambda y.(y\ y)$.
- ▶ $\lambda x.\lambda y.(y\ y) \rightarrow_{\alpha} \lambda y.\lambda y.(y\ y)$: Correct!



Reduction

Definitions

Definition 5.8 (Reduction step).

A sequence made of a possible α -conversion, followed by a β -reduction, such that the second produces no collisions: $E_1 \rightarrow E_2 \equiv E_1 \rightarrow_\alpha E_3 \rightarrow_\beta E_2$.



Reduction

Definitions

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A sequence made of a possible α -conversion, followed by a β -reduction, such that the second produces no collisions: $E_1 \rightarrow E_2 \equiv E_1 \rightarrow_\alpha E_3 \rightarrow_\beta E_2$.

Definition 5.9 (Reduction sequence).

A string of zero or more reduction steps: $E_1 \rightarrow^* E_2$. It is an element of the reflexive transitive closure of relation \rightarrow .



Reduction

Examples

Example 5.10 (Reduction).

► $((\lambda x.\lambda y.(y\ x)\ y)\ \lambda x.x)$



Reduction

Examples

Example 5.10 (Reduction).

- ▶ $((\lambda x.\lambda y.(y\ x)\ y)\ \lambda x.x)$
 $\rightarrow (\lambda z.(z\ y)\ \lambda x.x)$



Reduction

Examples

Example 5.10 (Reduction).

- ▶ $((\lambda x.\lambda y.(y\ x)\ y)\ \lambda x.x)$
→ $(\lambda z.(z\ y)\ \lambda x.x)$
→ $(\lambda x.x\ y)$



Reduction

Examples

Example 5.10 (Reduction).

- ▶ $((\lambda x.\lambda y.(y\ x)\ y)\ \lambda x.x)$
→ $(\lambda z.(z\ y)\ \lambda x.x)$
→ $(\lambda x.x\ y)$
→ y



Reduction

Examples

Example 5.10 (Reduction).

- ▶ $((\lambda x.\lambda y.(y\ x)\ y)\ \lambda x.x)$
→ $(\lambda z.(z\ y)\ \lambda x.x)$
→ $(\lambda x.x\ y)$
→ y
- ▶ $((\lambda x.\lambda y.(y\ x)\ y)\ \lambda x.x) \rightarrow^* y$



Reduction

Properties

- ▶ Reduction step = reduction sequence:

$$E_1 \rightarrow E_2 \Rightarrow E_1 \rightarrow^* E_2$$

- ▶ Reflexivity:

$$E \rightarrow^* E$$

- ▶ Transitivity:

$$E_1 \rightarrow^* E_2 \wedge E_2 \rightarrow^* E_3 \Rightarrow E_1 \rightarrow^* E_3$$



Contents

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Lambda expressions

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Normal forms

Evaluation order



Questions

1. When does the computation **terminate**?
Does it **always**?



Questions

1. When does the computation **terminate**?
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Questions

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4. If the result is unique, how do we **safely** obtain it?



Normal forms

Definition 6.1 (Normal form).

The form of an expression that **cannot** be reduced i.e., that contains no β -redexes.



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Definition 6.2 (Functional normal form, FNF).

$\lambda x.E$, **even** if E contains β -redexes.



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Definition 6.2 (Functional normal form, FNF).

$\lambda x.E$, **even** if E contains β -redexes.

Example 6.3 (Normal forms).

$(\lambda x.\lambda y.(x\ y)\ \lambda x.x) \rightarrow_{\text{FNF}} \lambda y.(\lambda x.x\ y) \rightarrow_{\text{NF}} \lambda y.y$



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Example 6.3 (Normal forms).

$(\lambda x.\lambda y.(x\ y)\ \lambda x.x) \rightarrow_{\text{FNF}} \lambda y.(\lambda x.x\ y) \rightarrow_{\text{NF}} \lambda y.y$

FNF is used in programming, where the function body is evaluated only when the function is effectively **applied**.



Reduction termination (reducibility)

Example 6.4.

$$\Omega \equiv (\lambda x.(x\ x)\ \lambda x.(x\ x))$$



Reduction termination (reducibility)

Example 6.4.

$$\Omega \equiv (\lambda x.(x x) \lambda x.(x x)) \rightarrow (\lambda x.(x x) \lambda x.(x x))$$



Reduction termination (reducibility)

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$\Omega \equiv (\lambda x.(x x) \lambda x.(x x)) \rightarrow (\lambda x.(x x) \lambda x.(x x)) \rightarrow^* \dots$

Ω does **not** have a terminating reduction sequence.



Reduction termination (reducibility)

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Ω does **not** have a terminating reduction sequence.

Definition 6.5 (Reducible expression).

An expression that has a **terminating** reduction sequence.



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$\Omega \equiv (\lambda x.(x\ x)\ \lambda x.(x\ x)) \rightarrow (\lambda x.(x\ x)\ \lambda x.(x\ x)) \rightarrow^* \dots$

Ω does **not** have a terminating reduction sequence.

Definition 6.5 (Reducible expression).

An expression that has a **terminating** reduction sequence.

Ω is irreducible.



Questions

1. When does the computation **terminate**?
Does it **always**?
2. Does the answer **depend** on the reduction sequence?
3. If the computation terminates for distinct reduction sequences, do we always get the **same** result?
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Questions

1. When does the computation **terminate**?
Does it **always**?
 - ▶ **NO**
2. Does the answer **depend** on the reduction sequence?
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Reduction sequences

Example 6.6 (Reduction sequences).

$$E = (\lambda x.y \ \Omega)$$



Reduction sequences

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$$E = (\lambda x.y \ \Omega)$$

► $\xrightarrow{1} y$



Reduction sequences

Example 6.6 (Reduction sequences).

$$E = (\lambda x.y \ \Omega)$$

- ▶ $\xrightarrow{1} y$
- ▶ $\xrightarrow{2} E \xrightarrow{1} y$

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- ▶ $\xrightarrow{2} E \xrightarrow{1} y$
- ▶ $\xrightarrow{2} E \xrightarrow{2} E \xrightarrow{1} y$
- ▶ ...



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 - ▶ $\xrightarrow{2} E \xrightarrow{2} E \xrightarrow{1} y$
 - ▶ ...
- ▶ $\xrightarrow{2^{n1} *} y, n \geq 0$



Reduction sequences

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- ▶ $\xrightarrow{2^{n1}^*} y, n \geq 0$
- ▶ $\xrightarrow{2^\infty^*} \dots$



Reduction sequences

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- ▶ $\xrightarrow{2^{n1}^*} y, n \geq 0$
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- ▶ E has a **nonterminating** reduction sequence, but still has a **normal form**, y . E is reducible, Ω is not.



Reduction sequences

Example 6.6 (Reduction sequences).

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- ▶ $\xrightarrow{1} y$
- ▶ $\xrightarrow{2} E \xrightarrow{1} y$
- ▶ $\xrightarrow{2} E \xrightarrow{2} E \xrightarrow{1} y$
- ▶ ...
- ▶ $\xrightarrow{2^{n1} *} y, n \geq 0$
- ▶ $\xrightarrow{2^{\infty} *} \dots$

- ▶ E has a **nonterminating** reduction sequence, but still has a **normal form**, y . E is reducible, Ω is not.
- ▶ The length of terminating reduction sequences is **unbounded**.



Questions

1. When does the computation **terminate**?
Does it **always**?
 - ▶ **NO**
2. Does the answer **depend** on the reduction sequence?
3. If the computation terminates for distinct reduction sequences, do we always get the **same** result?
4. If the result is unique, how do we **safely** obtain it?



Questions

1. When does the computation **terminate**?
Does it **always**?
 - ▶ **NO**
2. Does the answer **depend** on the reduction sequence?
 - ▶ **YES**
3. If the computation terminates for distinct reduction sequences, do we always get the **same** result?
4. If the result is unique, how do we **safely** obtain it?

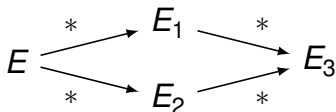


Normal form uniqueness

Results

Theorem 6.7 (Church-Rosser / diamond).

If $E \rightarrow^* E_1$ and $E \rightarrow^* E_2$, then *there is* an E_3 such that $E_1 \rightarrow^* E_3$ and $E_2 \rightarrow^* E_3$.

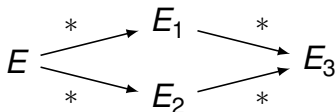


Normal form uniqueness

Results

Theorem 6.7 (Church-Rosser / diamond).

If $E \rightarrow^* E_1$ and $E \rightarrow^* E_2$, then *there is* an E_3 such that $E_1 \rightarrow^* E_3$ and $E_2 \rightarrow^* E_3$.



Corollary 6.8 (Normal form uniqueness).

If an expression is reducible, its normal form is *unique*. It corresponds to the *value* of that expression.



Normal form uniqueness

Examples

Example 6.9 (Normal form uniqueness).

$$(\lambda x. \lambda y. (x \ y) \ (\lambda x. x \ y))$$

Normal form uniqueness

Examples

Example 6.9 (Normal form uniqueness).

$$(\lambda x. \lambda y. (x \ y) \ (\lambda x. x \ y))$$

► $\rightarrow \lambda z. ((\lambda x. x \ y) \ z) \rightarrow \lambda z. (y \ z)$



Normal form uniqueness

Examples

Example 6.9 (Normal form uniqueness).

$$(\lambda x. \lambda y. (x \ y) \ (\lambda x. x \ y))$$

- ▶ $\rightarrow \lambda z. ((\lambda x. x \ y) \ z) \rightarrow \lambda z. (y \ z)$
- ▶ $\rightarrow (\lambda x. \lambda y. (x \ y) \ y) \rightarrow \lambda w. (y \ w)$



Normal form uniqueness

Examples

Example 6.9 (Normal form uniqueness).

$$(\lambda x. \lambda y. (x \ y) \ (\lambda x. x \ y))$$

- ▶ $\rightarrow \lambda z. ((\lambda x. x \ y) \ z) \rightarrow \lambda z. (y \ z) \rightarrow_{\alpha} \lambda a. (y \ a)$
- ▶ $\rightarrow (\lambda x. \lambda y. (x \ y) \ y) \rightarrow \lambda w. (y \ w) \rightarrow_{\alpha} \lambda a. (y \ a)$



Normal form uniqueness

Examples

Example 6.9 (Normal form uniqueness).

$$(\lambda x. \lambda y. (x \ y) \ (\lambda x. x \ y))$$

- ▶ $\rightarrow \lambda z. ((\lambda x. x \ y) \ z) \rightarrow \lambda z. (y \ z) \rightarrow_{\alpha} \lambda a. (y \ a)$
- ▶ $\rightarrow (\lambda x. \lambda y. (x \ y) \ y) \rightarrow \lambda w. (y \ w) \rightarrow_{\alpha} \lambda a. (y \ a)$
- ▶ Normal form: **class** of expressions, equivalent under systematic **relabeling**



Normal form uniqueness

Examples

Example 6.9 (Normal form uniqueness).

$$(\lambda x. \lambda y. (x \ y) \ (\lambda x. x \ y))$$

- ▶ $\rightarrow \lambda z. ((\lambda x. x \ y) \ z) \rightarrow \lambda z. (y \ z) \rightarrow_{\alpha} \lambda a. (y \ a)$
- ▶ $\rightarrow (\lambda x. \lambda y. (x \ y) \ y) \rightarrow \lambda w. (y \ w) \rightarrow_{\alpha} \lambda a. (y \ a)$

- ▶ Normal form: **class** of expressions, equivalent under systematic **relabeling**

- ▶ **Value**: distinguished member of this class



Structural equivalence

Definition 6.10 (Structural equivalence).

Two expressions are structurally equivalent iff they both reduce to the **same** expression.

Example 6.11 (Structural equivalence).

$\lambda z.((\lambda x.x \ y) \ z)$ and $(\lambda x.\lambda y.(x \ y) \ y)$ in Example 6.9.



Computational equivalence

Definition 6.12 (Computational equivalence).

Two expressions are computationally equivalent iff they behave in the **same** way when applied onto the same arguments.

Example 6.13 (Computational equivalence).

$$E_1 = \lambda y. \lambda x. (y \ x)$$

$$E_2 = \lambda x. x$$

- ▶ $((E_1 \ a) \ b) \rightarrow^* (a \ b)$
- ▶ $((E_2 \ a) \ b) \rightarrow^* (a \ b)$



Computational equivalence

Definition 6.12 (Computational equivalence).

Two expressions are computationally equivalent iff they behave in the **same** way when applied onto the same arguments.

Example 6.13 (Computational equivalence).

$$E_1 = \lambda y. \lambda x. (y \ x)$$

$$E_2 = \lambda x. x$$

- ▶ $((E_1 \ a) \ b) \rightarrow^* (a \ b)$
- ▶ $((E_2 \ a) \ b) \rightarrow^* (a \ b)$
- ▶ $E_1 \not\rightarrow^* E_2$ and $E_2 \not\rightarrow^* E_1$ (**not** structurally equivalent)



Questions

1. When does the computation **terminate**?
Does it **always**?
 - ▶ **NO**
2. Does the answer **depend** on the reduction sequence?
 - ▶ **YES**
3. If the computation terminates for distinct reduction sequences, do we always get the **same** result?
4. If the result is unique, how do we **safely** obtain it?



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Reduction order

Definitions and examples

Definition 6.14 (Left-to-right reduction step).

The reduction of the **outermost leftmost** β -redex.

Example 6.15 (Left-to-right reduction).

$((\underline{\lambda x.x \lambda x.y}) (\lambda x.(x x) \lambda x.(x x))) \rightarrow (\underline{\lambda x.y \Omega}) \rightarrow y$



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Definition 6.16 (Right-to-left reduction step).

The reduction of the **innermost rightmost** β -redex.

Example 6.17 (Right-to-left reduction).

$((\lambda x.x \lambda x.y) (\underline{\lambda x.(x x) \lambda x.(x x)})) \rightarrow (\lambda x.y \underline{\Omega}) \rightarrow \dots$



Reduction order

Which one is better?

Theorem 6.18 (Normalization).

*If an expression is **reducible**, its **left-to-right** reduction terminates.*

The theorem does **not** guarantee the termination for any expression, but only for **reducible** ones!



Questions

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Normal forms

Evaluation order



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Definition 7.1 (Applicative-order evaluation).

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A function that uses **applicative-order** evaluation.



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Definition 7.3 (Normal-order evaluation).

Corresponds to **left-to-right** reduction. Function arguments are evaluated **when needed**.

Definition 7.4 (Non-strict function).

A function that uses **normal-order** evaluation.



In practice I

Applicative-order evaluation employed in most programming languages, due to **efficiency** — one-time evaluation of arguments: C, Java, Scheme, PHP, etc.

Example 7.5 (Applicative-order evaluation in Scheme).

$$\begin{aligned} & ((\lambda (x) (+ x x)) \underline{(+ 2 3)}) \\ \rightarrow & \underline{((\lambda (x) (+ x x)) 5)} \\ \rightarrow & \underline{(+ 5 5)} \\ \rightarrow & 10 \end{aligned}$$


In practice II

Lazy evaluation (a kind of normal-order evaluation) in Haskell: on-demand evaluation of arguments, allowing for interesting constructions

Example 7.6 (Lazy evaluation in Haskell).

$$\begin{aligned} & ((\backslash x \rightarrow x + x) (2 + 3)) \\ \rightarrow & \underline{(2 + 3)} + \underline{(2 + 3)} \\ \rightarrow & \underline{5 + 5} \\ \rightarrow & 10 \end{aligned}$$

Need for **non-strict** functions, even in applicative languages: `if`, `and`, `or`, etc.



Summary

- ▶ Lambda calculus: model of computation, underpinned by functions and textual substitution
- ▶ Bound/free variables and variable occurrences w.r.t. an expression
- ▶ β -reduction, α -conversion, reduction step, reduction sequence, reduction order, normal forms
- ▶ Left-to-right reduction (normal-order evaluation): always terminates for reducible expressions
- ▶ Right-to-left reduction (applicative-order evaluation): more efficient but no guarantee on termination even for reducible expressions!



Part III

Lambda Calculus as a Programming Language



Contents

The λ_0 language

Abstract data types (ADTs)

Implementation

Recursion

Language specification



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Purpose

- ▶ Proving the **expressive** power of lambda calculus



Purpose

- ▶ Proving the **expressive** power of lambda calculus
- ▶ Hypothetical **λ -machine**



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- ▶ Machine code: λ -expressions — the **λ_0 language**



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- ▶ Instead of
 - ▶ bits
 - ▶ bit operations,

we have



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 - ▶ structured **strings** of symbols



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 - ▶ **reduction** — textual substitution



λ_0 features

- ▶ Instructions:



λ_0 features

- ▶ Instructions:
 - ▶ λ -expressions



λ_0 features

- ▶ Instructions:

- ▶ λ -expressions

- ▶ top-level variable **bindings**: $variable \equiv_{\text{def}} expression$
e.g., $true \equiv_{\text{def}} \lambda x. \lambda y. x$



λ_0 features

- ▶ Instructions:
 - ▶ λ -expressions
 - ▶ top-level variable **bindings**: $variable \equiv_{\text{def}} expression$
e.g., $true \equiv_{\text{def}} \lambda x. \lambda y. x$
- ▶ Values represented as **functions**



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- ▶ Values represented as **functions**
- ▶ Expressions brought to the **closed** form,
prior to evaluation
- ▶ **Normal-order** evaluation
- ▶ **Functional** normal form (see Definition 6.2)
- ▶ **No** predefined types!



Shorthands

▶ $\lambda x_1.\lambda x_2.\lambda \dots.\lambda x_n.E \rightarrow \lambda x_1 x_2 \dots x_n.E$

▶ $((\dots((E A_1) A_2) \dots) A_n) \rightarrow (E A_1 A_2 \dots A_n)$



Purpose of types

- ▶ Way of expressing the programmer's **intent**



Purpose of types

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- ▶ **Documentation**: which operators act onto which objects



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- ▶ **Particular** representation for values of different types:
1, "Hello", #t, etc.



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- ▶ **Particular** representation for values of different types: 1, "Hello", #t, etc.
- ▶ **Optimization** of specific operations
- ▶ Error **prevention**
- ▶ Formal **verification**



No types

How are objects represented?

- ▶ A number, list or tree potentially designated by the **same** value e.g.,

number 3 $\rightarrow \lambda x.\lambda y.x$ \leftarrow list (() () ())



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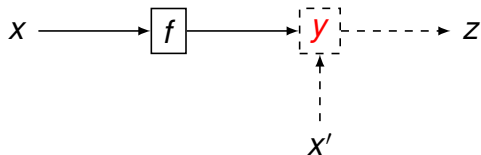
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 - ▶ interpret the **meaning** of expressions
 - ▶ ensure their **correctness**



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- ▶ Erroneous constructs **accepted** without warning, but computation ended with
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 - ▶ expressions that are **neither** values, **nor** reducible
e.g., $(x\ x)$



No types

Consequences

- ▶ Enhanced representational **flexibility**



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- ▶ Useful when the **uniform** representation of objects, as lists de symbols, is convenient



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- ▶ Program **instability**



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- ▶ Useful when the **uniform** representation of objects, as lists de symbols, is convenient
- ▶ Increased **error**-proneness
- ▶ Program **instability**
- ▶ **Difficulty** of verification and maintenance



So...

- ▶ How do we employ the λ_0 language in everyday programming?



So...

- ▶ How do we employ the λ_0 language in everyday programming?
- ▶ How do we represent usual values — numbers, booleans, lists, etc. — and their corresponding operators?



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Definition

Definition 9.1 (Abstract data type, ADT).

Mathematical model of a **set** of values and their corresponding **operations**.



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Natural, Bool, List, Set, Stack, Tree, ... λ -expression!



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Mathematical model of a **set** of values and their corresponding **operations**.

Example 9.2 (ADTs).

Natural, Bool, List, Set, Stack, Tree, ... λ -expression!

Components:

- ▶ **base constructors**: how are values built
- ▶ **operators**: what can be done with these values
- ▶ **axioms**: how



The *Natural* ADT

Base constructors and operators

- ▶ Base constructors:

- ▶ Operators:



The *Natural* ADT

Base constructors and operators

- ▶ Base constructors:
 - ▶ $zero : \rightarrow \textit{Natural}$

- ▶ Operators:



The *Natural* ADT

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 - ▶ $succ : \textit{Natural} \rightarrow \textit{Natural}$

- ▶ Operators:
 - ▶ $zero? : \textit{Natural} \rightarrow \textit{Bool}$



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 - ▶ $pred : \textit{Natural} \setminus \{zero\} \rightarrow \textit{Natural}$



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 - ▶ $pred : \textit{Natural} \setminus \{zero\} \rightarrow \textit{Natural}$
 - ▶ $add : \textit{Natural}^2 \rightarrow \textit{Natural}$



The *Natural* ADT

Axioms

▶ *zero?*

▶ *pred*

▶ *add*



The *Natural* ADT

Axioms

- ▶ *zero?*
 - ▶ $(\text{zero? zero}) = T$

- ▶ *pred*

- ▶ *add*



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Axioms

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 - ▶ $(\text{add zero } n) = n$



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- ▶ *add*

- ▶ $(\text{add zero } n) = n$

- ▶ $(\text{add (succ } m) n) = (\text{succ (add } m n))$



Providing axioms

- ▶ One axiom for **each** (operator, base constructor) pair



Providing axioms

- ▶ One axiom for **each** (operator, base constructor) pair
- ▶ More — **useless**



Providing axioms

- ▶ One axiom for **each** (operator, base constructor) pair
- ▶ More — **useless**
- ▶ Less — **insufficient** for completely specifying the operators



From ADTs to functional programming

Exemple

▶ **Axiome:**

- ▶ $add(zero, n) = n$
- ▶ $add(succ(m), n) = succ(add(m, n))$

▶ **Scheme:**

```
1 (define add
2   (lambda (m n)
3     (if (zero? m) n
4         (+ 1 (add (- m 1) n))))))
```

▶ **Haskell:**

```
1 add 0 n = n
2 add (m + 1) n = 1 + (add m n)
```



From ADTs to functional programming

Discussion

- ▶ Proving ADT **correctness**
— structural induction



From ADTs to functional programming

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- ▶ Proving ADT **correctness**
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- ▶ Proving properties of **λ -expressions**, seen as values of an ADT with 3 base constructors!



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Discussion

- ▶ Proving ADT **correctness**
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- ▶ Proving properties of **λ -expressions**, seen as values of an ADT with 3 base constructors!
- ▶ Functional programming
— reflection of **mathematical** specifications



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Discussion

- ▶ Proving ADT **correctness**
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- ▶ Proving properties of **λ -expressions**, seen as values of an ADT with 3 base constructors!
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— reflection of **mathematical** specifications
- ▶ **Recursion**
— natural instrument, inherited from axioms



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- ▶ Functional programming
— reflection of **mathematical** specifications
- ▶ **Recursion**
— natural instrument, inherited from axioms
- ▶ Applying formal methods on the recursive **code**, taking advantage of the **lack** of side effects



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Base constructors and operators

- ▶ Base constructors:

- ▶ Operators:



The *Bool* ADT

Base constructors and operators

- ▶ Base constructors:

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- ▶ Operators:



The *Bool* ADT

Base constructors and operators

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 - ▶ $F : \rightarrow \textit{Bool}$

- ▶ Operators:



The *Bool* ADT

Base constructors and operators

- ▶ Base constructors:
 - ▶ $T : \rightarrow Bool$
 - ▶ $F : \rightarrow Bool$
- ▶ Operators:
 - ▶ $not : Bool \rightarrow Bool$



The *Bool* ADT

Base constructors and operators

- ▶ Base constructors:
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 - ▶ $F : \rightarrow Bool$

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 - ▶ $or : Bool^2 \rightarrow Bool$
 - ▶ $if : Bool \times T \times T \rightarrow T$



The *Bool* ADT

Axioms

▶ *not*

▶ *and*

▶ *or*

▶ *if*



The *Bool* ADT

Axioms

- ▶ *not*

- ▶ $(\text{not } T) = F$

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The *Bool* ADT

Axioms

- ▶ *not*

- ▶ $(\text{not } T) = F$

- ▶ $(\text{not } F) = T$

- ▶ *and*

- ▶ $(\text{and } T \ a) = a$

- ▶ *or*

- ▶ *if*



The *Bool* ADT

Axioms

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Axioms

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Axioms

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- ▶ $(\text{or } F \ a) = a$

- ▶ *if*

- ▶ $(\text{if } T \ a \ b) = a$



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- ▶ $(\text{or } F \ a) = a$

- ▶ *if*

- ▶ $(\text{if } T \ a \ b) = a$

- ▶ $(\text{if } F \ a \ b) = b$



The *Bool* ADT

Base constructor implementation

- ▶ Intuition: **selecting** one of the two values, *true* or *false*
- ▶ $T \equiv_{\text{def}} \lambda xy.x$
- ▶ $F \equiv_{\text{def}} \lambda xy.y$
- ▶ **Selector**-like behavior:
 - ▶ $(T a b) \rightarrow (\lambda xy.x a b) \rightarrow a$
 - ▶ $(F a b) \rightarrow (\lambda xy.y a b) \rightarrow b$



The *Bool* ADT

Operator implementation

- ▶ $not \equiv_{\text{def}}$
 - ▶ $(not\ T) \rightarrow F$
 - ▶ $(not\ F) \rightarrow T$
- ▶ $and \equiv_{\text{def}}$
 - ▶ $(and\ T\ a) \rightarrow a$
 - ▶ $(and\ F\ a) \rightarrow F$
- ▶ $or \equiv_{\text{def}}$
 - ▶ $(or\ T\ a) \rightarrow T$
 - ▶ $(or\ F\ a) \rightarrow a$
- ▶ $if \equiv_{\text{def}}$
 - ▶ $(if\ T\ a\ b) \rightarrow a$
 - ▶ $(if\ F\ a\ b) \rightarrow b$



The *Bool* ADT

Operator implementation

- ▶ $not \equiv_{\text{def}} \lambda x.(x F T)$
 - ▶ $(not T) \rightarrow (\lambda x.(x F T) T) \rightarrow (T F T) \rightarrow F$
 - ▶ $(not F) \rightarrow (\lambda x.(x F T) F) \rightarrow (F F T) \rightarrow T$
- ▶ $and \equiv_{\text{def}}$
 - ▶ $(and T a) \rightarrow a$
 - ▶ $(and F a) \rightarrow F$
- ▶ $or \equiv_{\text{def}}$
 - ▶ $(or T a) \rightarrow T$
 - ▶ $(or F a) \rightarrow a$
- ▶ $if \equiv_{\text{def}}$
 - ▶ $(if T a b) \rightarrow a$
 - ▶ $(if F a b) \rightarrow b$



The *Bool* ADT

Operator implementation

- ▶ $not \equiv_{\text{def}} \lambda x.(x F T)$
 - ▶ $(not T) \rightarrow (\lambda x.(x F T) T) \rightarrow (T F T) \rightarrow F$
 - ▶ $(not F) \rightarrow (\lambda x.(x F T) F) \rightarrow (F F T) \rightarrow T$
- ▶ $and \equiv_{\text{def}} \lambda xy.(x y F)$
 - ▶ $(and T a) \rightarrow (\lambda xy.(x y F) T a) \rightarrow (T a F) \rightarrow a$
 - ▶ $(and F a) \rightarrow (\lambda xy.(x y F) F a) \rightarrow (F a F) \rightarrow F$
- ▶ $or \equiv_{\text{def}}$
 - ▶ $(or T a) \rightarrow T$
 - ▶ $(or F a) \rightarrow a$
- ▶ $if \equiv_{\text{def}}$
 - ▶ $(if T a b) \rightarrow a$
 - ▶ $(if F a b) \rightarrow b$



The *Bool* ADT

Operator implementation

- ▶ $not \equiv_{\text{def}} \lambda x.(x F T)$
 - ▶ $(not T) \rightarrow (\lambda x.(x F T) T) \rightarrow (T F T) \rightarrow F$
 - ▶ $(not F) \rightarrow (\lambda x.(x F T) F) \rightarrow (F F T) \rightarrow T$
- ▶ $and \equiv_{\text{def}} \lambda xy.(x y F)$
 - ▶ $(and T a) \rightarrow (\lambda xy.(x y F) T a) \rightarrow (T a F) \rightarrow a$
 - ▶ $(and F a) \rightarrow (\lambda xy.(x y F) F a) \rightarrow (F a F) \rightarrow F$
- ▶ $or \equiv_{\text{def}} \lambda xy.(x T y)$
 - ▶ $(or T a) \rightarrow (\lambda xy.(x T y) T a) \rightarrow (T T a) \rightarrow T$
 - ▶ $(or F a) \rightarrow (\lambda xy.(x T y) F a) \rightarrow (F T a) \rightarrow a$
- ▶ $if \equiv_{\text{def}}$
 - ▶ $(if T a b) \rightarrow a$
 - ▶ $(if F a b) \rightarrow b$



The *Bool* ADT

Operator implementation

- ▶ $not \equiv_{\text{def}} \lambda x.(x F T)$
 - ▶ $(not T) \rightarrow (\lambda x.(x F T) T) \rightarrow (T F T) \rightarrow F$
 - ▶ $(not F) \rightarrow (\lambda x.(x F T) F) \rightarrow (F F T) \rightarrow T$
- ▶ $and \equiv_{\text{def}} \lambda xy.(x y F)$
 - ▶ $(and T a) \rightarrow (\lambda xy.(x y F) T a) \rightarrow (T a F) \rightarrow a$
 - ▶ $(and F a) \rightarrow (\lambda xy.(x y F) F a) \rightarrow (F a F) \rightarrow F$
- ▶ $or \equiv_{\text{def}} \lambda xy.(x T y)$
 - ▶ $(or T a) \rightarrow (\lambda xy.(x T y) T a) \rightarrow (T T a) \rightarrow T$
 - ▶ $(or F a) \rightarrow (\lambda xy.(x T y) F a) \rightarrow (F T a) \rightarrow a$
- ▶ $if \equiv_{\text{def}} \lambda cte.(c t e)$ **non-strict!**
 - ▶ $(if T a b) \rightarrow (\lambda cte.(c t e) T a b) \rightarrow (T a b) \rightarrow a$
 - ▶ $(if F a b) \rightarrow (\lambda cte.(c t e) F a b) \rightarrow (F a b) \rightarrow b$



The *Pair* ADT

Specification

- ▶ Base constructors:
- ▶ Operators:
- ▶ Axioms:



The *Pair* ADT

Specification

- ▶ Base constructors:
 - ▶ $pair : A \times B \rightarrow Pair$
- ▶ Operators:
- ▶ Axioms:



The *Pair* ADT

Specification

- ▶ Base constructors:
 - ▶ $pair : A \times B \rightarrow Pair$
- ▶ Operators:
 - ▶ $fst : Pair \rightarrow A$
- ▶ Axioms:



The *Pair* ADT

Specification

- ▶ Base constructors:
 - ▶ $pair : A \times B \rightarrow Pair$
- ▶ Operators:
 - ▶ $fst : Pair \rightarrow A$
 - ▶ $snd : Pair \rightarrow B$
- ▶ Axioms:



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- ▶ Base constructors:
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- ▶ Operators:
 - ▶ $fst : Pair \rightarrow A$
 - ▶ $snd : Pair \rightarrow B$
- ▶ Axioms:
 - ▶ $(fst (pair\ a\ b)) = a$



The *Pair* ADT

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- ▶ Base constructors:
 - ▶ $pair : A \times B \rightarrow Pair$
- ▶ Operators:
 - ▶ $fst : Pair \rightarrow A$
 - ▶ $snd : Pair \rightarrow B$
- ▶ Axioms:
 - ▶ $(fst (pair\ a\ b)) = a$
 - ▶ $(snd (pair\ a\ b)) = b$



The *Pair* ADT

Implementation

- ▶ Intuition: a pair = a function that expects a **selector**, in order to apply it onto its components

- ▶ $pair \equiv_{\text{def}}$

- ▶ $(pair\ a\ b)$

- ▶ $fst \equiv_{\text{def}}$

- ▶ $(fst\ (pair\ a\ b))$

$\rightarrow a$

- ▶ $snd \equiv_{\text{def}}$

- ▶ $(snd\ (pair\ a\ b))$

$\rightarrow b$



The *Pair* ADT

Implementation

- ▶ Intuition: a pair = a function that expects a **selector**, in order to apply it onto its components

- ▶ $pair \equiv_{\text{def}} \lambda xys.(s\ x\ y)$

- ▶ $(pair\ a\ b) \rightarrow (\lambda xys.(s\ x\ y)\ a\ b) \rightarrow \lambda s.(s\ a\ b)$

- ▶ $fst \equiv_{\text{def}}$

- ▶ $(fst\ (pair\ a\ b))$

$\rightarrow a$

- ▶ $snd \equiv_{\text{def}}$

- ▶ $(snd\ (pair\ a\ b))$

$\rightarrow b$



The *Pair* ADT

Implementation

- ▶ Intuition: a pair = a function that expects a **selector**, in order to apply it onto its components
- ▶ $pair \equiv_{\text{def}} \lambda xys.(s \ x \ y)$
 - ▶ $(pair \ a \ b) \rightarrow (\lambda xys.(s \ x \ y) \ a \ b) \rightarrow \lambda s.(s \ a \ b)$
- ▶ $fst \equiv_{\text{def}} \lambda p.(p \ T)$
 - ▶ $(fst \ (pair \ a \ b)) \rightarrow (\lambda p.(p \ T) \ \lambda s.(s \ a \ b)) \rightarrow$
 $(\lambda s.(s \ a \ b) \ T) \rightarrow (T \ a \ b) \rightarrow a$
- ▶ $snd \equiv_{\text{def}}$
 - ▶ $(snd \ (pair \ a \ b))$
 $\rightarrow b$



The *Pair* ADT

Implementation

- ▶ Intuition: a pair = a function that expects a **selector**, in order to apply it onto its components
- ▶ $pair \equiv_{\text{def}} \lambda x y s. (s \ x \ y)$
 - ▶ $(pair \ a \ b) \rightarrow (\lambda x y s. (s \ x \ y) \ a \ b) \rightarrow \lambda s. (s \ a \ b)$
- ▶ $fst \equiv_{\text{def}} \lambda p. (p \ T)$
 - ▶ $(fst \ (pair \ a \ b)) \rightarrow (\lambda p. (p \ T) \ \lambda s. (s \ a \ b)) \rightarrow (\lambda s. (s \ a \ b) \ T) \rightarrow (T \ a \ b) \rightarrow a$
- ▶ $snd \equiv_{\text{def}} \lambda p. (p \ F)$
 - ▶ $(snd \ (pair \ a \ b)) \rightarrow (\lambda p. (p \ F) \ \lambda s. (s \ a \ b)) \rightarrow (\lambda s. (s \ a \ b) \ F) \rightarrow (F \ a \ b) \rightarrow b$



The *List* ADT

Base constructors and operators

- ▶ Base constructors:

- ▶ Operators:



The *List* ADT

Base constructors and operators

- ▶ Base constructors:
 - ▶ $null : \rightarrow List$

- ▶ Operators:



The *List* ADT

Base constructors and operators

- ▶ Base constructors:
 - ▶ $null : \rightarrow List$
 - ▶ $cons : A \times List \rightarrow List$

- ▶ Operators:



The *List* ADT

Base constructors and operators

- ▶ Base constructors:
 - ▶ $null : \rightarrow List$
 - ▶ $cons : A \times List \rightarrow List$

- ▶ Operators:
 - ▶ $car : List \setminus \{null\} \rightarrow A$



The *List* ADT

Base constructors and operators

- ▶ Base constructors:
 - ▶ $null : \rightarrow List$
 - ▶ $cons : A \times List \rightarrow List$

- ▶ Operators:
 - ▶ $car : List \setminus \{null\} \rightarrow A$
 - ▶ $cdr : List \setminus \{null\} \rightarrow List$



The *List* ADT

Base constructors and operators

- ▶ Base constructors:
 - ▶ $null : \rightarrow List$
 - ▶ $cons : A \times List \rightarrow List$

- ▶ Operators:
 - ▶ $car : List \setminus \{null\} \rightarrow A$
 - ▶ $cdr : List \setminus \{null\} \rightarrow List$
 - ▶ $null? : List \rightarrow Bool$



The *List* ADT

Base constructors and operators

- ▶ Base constructors:
 - ▶ $null : \rightarrow List$
 - ▶ $cons : A \times List \rightarrow List$

- ▶ Operators:
 - ▶ $car : List \setminus \{null\} \rightarrow A$
 - ▶ $cdr : List \setminus \{null\} \rightarrow List$
 - ▶ $null? : List \rightarrow Bool$
 - ▶ $append : List^2 \rightarrow List$



The *List* ADT

Axioms

- ▶ *car*
- ▶ *cdr*
- ▶ *null?*
- ▶ *append*



The *List* ADT

Axioms

- ▶ *car*
 - ▶ $(car (cons e L)) = e$
- ▶ *cdr*
- ▶ *null?*
- ▶ *append*



The *List* ADT

Axioms

- ▶ *car*
 - ▶ $(car (cons\ e\ L)) = e$
- ▶ *cdr*
 - ▶ $(cdr (cons\ e\ L)) = L$
- ▶ *null?*

- ▶ *append*



The *List* ADT

Axioms

- ▶ *car*
 - ▶ $(car (cons\ e\ L)) = e$
- ▶ *cdr*
 - ▶ $(cdr (cons\ e\ L)) = L$
- ▶ *null?*
 - ▶ $(null?\ null) = T$

- ▶ *append*



The *List* ADT

Axioms

- ▶ *car*
 - ▶ $(car (cons\ e\ L)) = e$
- ▶ *cdr*
 - ▶ $(cdr (cons\ e\ L)) = L$
- ▶ *null?*
 - ▶ $(null?\ null) = T$
 - ▶ $(null?\ (cons\ e\ L)) = F$
- ▶ *append*



The *List* ADT

Axioms

- ▶ *car*
 - ▶ $(car (cons e L)) = e$
- ▶ *cdr*
 - ▶ $(cdr (cons e L)) = L$
- ▶ *null?*
 - ▶ $(null? null) = T$
 - ▶ $(null? (cons e L)) = F$
- ▶ *append*
 - ▶ $(append null B) = B$



The *List* ADT

Axioms

▶ *car*

▶ $(car (cons e L)) = e$

▶ *cdr*

▶ $(cdr (cons e L)) = L$

▶ *null?*

▶ $(null? null) = T$

▶ $(null? (cons e L)) = F$

▶ *append*

▶ $(append null B) = B$

▶ $(append (cons e A) B) = (cons e (append A B))$



The *List* ADT

Implementation

▶ Intuition:

▶ $null \equiv_{\text{def}}$

▶ $cons \equiv_{\text{def}}$

▶ $car \equiv_{\text{def}}$

▶ $cdr \equiv_{\text{def}}$

▶ $null? \equiv_{\text{def}}$

▶ $(null? null)$

$\rightarrow T$

▶ $(null? (cons e L))$

$\rightarrow F$

▶ $append \equiv_{\text{def}}$



The *List* ADT

Implementation

- ▶ Intuition: a list = a (head, tail) **pair**
- ▶ $null \equiv_{\text{def}}$
- ▶ $cons \equiv_{\text{def}}$
- ▶ $car \equiv_{\text{def}}$
- ▶ $cdr \equiv_{\text{def}}$
- ▶ $null? \equiv_{\text{def}}$
 - ▶ $(null? null) \rightarrow T$
 - ▶ $(null? (cons e L)) \rightarrow F$
- ▶ $append \equiv_{\text{def}}$



The *List* ADT

Implementation

- ▶ Intuition: a list = a (head, tail) **pair**
- ▶ $null \equiv_{\text{def}} \lambda x. T$
- ▶ $cons \equiv_{\text{def}}$
- ▶ $car \equiv_{\text{def}}$
- ▶ $cdr \equiv_{\text{def}}$
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 - ▶ $(null? (cons e L)) \rightarrow F$
- ▶ $append \equiv_{\text{def}}$



The *List* ADT

Implementation

- ▶ Intuition: a list = a (head, tail) **pair**
- ▶ $null \equiv_{\text{def}} \lambda x. T$
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- ▶ $cdr \equiv_{\text{def}}$
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 - ▶ $(null? (cons e L)) \rightarrow F$
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The *List* ADT

Implementation

- ▶ Intuition: a list = a (head, tail) **pair**
- ▶ $null \equiv_{\text{def}} \lambda x. T$
- ▶ $cons \equiv_{\text{def}} pair$
- ▶ $car \equiv_{\text{def}} fst$
- ▶ $cdr \equiv_{\text{def}}$
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 - ▶ $(null? null) \rightarrow T$
 - ▶ $(null? (cons e L)) \rightarrow F$
- ▶ $append \equiv_{\text{def}}$



The *List* ADT

Implementation

- ▶ Intuition: a list = a (head, tail) **pair**
- ▶ $null \equiv_{\text{def}} \lambda x. T$
- ▶ $cons \equiv_{\text{def}} pair$
- ▶ $car \equiv_{\text{def}} fst$
- ▶ $cdr \equiv_{\text{def}} snd$
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 - ▶ $(null? (cons e L)) \rightarrow F$
- ▶ $append \equiv_{\text{def}}$



The *List* ADT


Implementation

- ▶ Intuition: a list = a (head, tail) **pair**
- ▶ $null \equiv_{\text{def}} \lambda x. T$
- ▶ $cons \equiv_{\text{def}} pair$
- ▶ $car \equiv_{\text{def}} fst$
- ▶ $cdr \equiv_{\text{def}} snd$
- ▶ $null? \equiv_{\text{def}} \lambda L. (L \lambda xy. F)$
 - ▶ $(null? null) \rightarrow (\lambda L. (L \lambda xy. F) \lambda x. T) \rightarrow (\lambda x. T \dots) \rightarrow T$
 - ▶ $(null? (cons e L)) \rightarrow (\lambda L. (L \lambda xy. F) \lambda s. (s e L)) \rightarrow (\lambda s. (s e L) \lambda xy. F) \rightarrow (\lambda xy. F e L) \rightarrow F$
- ▶ $append \equiv_{\text{def}}$



The *List* ADT

Implementation

- ▶ Intuition: a list = a (head, tail) **pair**
- ▶ $null \equiv_{\text{def}} \lambda x. T$
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 - ▶ $(null? null) \rightarrow (\lambda L. (L \lambda xy. F) \lambda x. T) \rightarrow (\lambda x. T \dots) \rightarrow T$
 - ▶ $(null? (cons e L)) \rightarrow (\lambda L. (L \lambda xy. F) \lambda s. (s e L)) \rightarrow (\lambda s. (s e L) \lambda xy. F) \rightarrow (\lambda xy. F e L) \rightarrow F$
- ▶ $append \equiv_{\text{def}} \lambda AB. (if (null? A) B (cons (car A) (append (cdr A) B)))$ 

The *List* ADT

Implementation

- ▶ Intuition: a list = a (head, tail) **pair**
- ▶ $null \equiv_{\text{def}} \lambda x. T$
- ▶ $cons \equiv_{\text{def}} pair$
- ▶ $car \equiv_{\text{def}} fst$
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- ▶ $null? \equiv_{\text{def}} \lambda L. (L \lambda xy. F)$
 - ▶ $(null? null) \rightarrow (\lambda L. (L \lambda xy. F) \lambda x. T) \rightarrow (\lambda x. T \dots) \rightarrow T$
 - ▶ $(null? (cons e L)) \rightarrow (\lambda L. (L \lambda xy. F) \lambda s. (s e L)) \rightarrow (\lambda s. (s e L) \lambda xy. F) \rightarrow (\lambda xy. F e L) \rightarrow F$
- ▶ ***append*** \equiv_{def} ... **no** closed form
 $\lambda AB. (if (null? A) B (cons (car A) (***append*** (cdr A) B)))$



The *Natural* ADT

Axioms

- ▶ *zero?*

- ▶ $(\text{zero? zero}) = T$

- ▶ $(\text{zero? (succ } n)) = F$

- ▶ *pred*

- ▶ $(\text{pred (succ } n)) = n$

- ▶ *add*

- ▶ $(\text{add zero } n) = n$

- ▶ $(\text{add (succ } m) n) = (\text{succ (add } m n))$



The *Natural* ADT

Implementation

- ▶ Intuition:
- ▶ $zero \equiv_{\text{def}}$
- ▶ $succ \equiv_{\text{def}}$
- ▶ $zero? \equiv_{\text{def}}$
- ▶ $pred \equiv_{\text{def}}$
- ▶ $add \equiv_{\text{def}}$



The *Natural* ADT

Implementation

- ▶ Intuition: a number = a **list** having the number value as its length
- ▶ $zero \equiv_{\text{def}}$
- ▶ $succ \equiv_{\text{def}}$
- ▶ $zero? \equiv_{\text{def}}$
- ▶ $pred \equiv_{\text{def}}$
- ▶ $add \equiv_{\text{def}}$



The *Natural* ADT

Implementation

- ▶ Intuition: a number = a **list** having the number value as its length
- ▶ $zero \equiv_{\text{def}} \textit{null}$
- ▶ $\textit{succ} \equiv_{\text{def}}$
- ▶ $\textit{zero?} \equiv_{\text{def}}$
- ▶ $\textit{pred} \equiv_{\text{def}}$
- ▶ $\textit{add} \equiv_{\text{def}}$



The *Natural* ADT

Implementation

- ▶ Intuition: a number = a **list** having the number value as its length
- ▶ $zero \equiv_{\text{def}} \text{null}$
- ▶ $succ \equiv_{\text{def}} \lambda n. (\text{cons } \text{null } n)$
- ▶ $zero? \equiv_{\text{def}}$
- ▶ $pred \equiv_{\text{def}}$
- ▶ $add \equiv_{\text{def}}$



The *Natural* ADT

Implementation

- ▶ Intuition: a number = a **list** having the number value as its length
- ▶ $zero \equiv_{\text{def}} \text{null}$
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- ▶ $\text{add} \equiv_{\text{def}}$



The *Natural* ADT

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- ▶ Intuition: a number = a **list** having the number value as its length
- ▶ $zero \equiv_{\text{def}} \text{null}$
- ▶ $\text{succ} \equiv_{\text{def}} \lambda n. (\text{cons } \text{null } n)$
- ▶ $zero? \equiv_{\text{def}} \text{null?}$
- ▶ $\text{pred} \equiv_{\text{def}} \text{cdr}$
- ▶ $\text{add} \equiv_{\text{def}} \text{append}$



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Functions

- ▶ Several possible definitions of the **identity** function:



Functions

- ▶ Several possible definitions of the **identity** function:
 - ▶ $id(n) = n$



Functions

- ▶ Several possible definitions of the **identity** function:
 - ▶ $id(n) = n$
 - ▶ $id(n) = n + 1 - 1$



Functions

- ▶ Several possible definitions of the **identity** function:
 - ▶ $id(n) = n$
 - ▶ $id(n) = n + 1 - 1$
 - ▶ $id(n) = n + 2 - 2$



Functions

- ▶ Several possible definitions of the **identity** function:
 - ▶ $id(n) = n$
 - ▶ $id(n) = n + 1 - 1$
 - ▶ $id(n) = n + 2 - 2$
 - ▶ ...



Functions

- ▶ Several possible definitions of the **identity** function:
 - ▶ $id(n) = n$
 - ▶ $id(n) = n + 1 - 1$
 - ▶ $id(n) = n + 2 - 2$
 - ▶ ...
- ▶ **Infinitely** many textual representations for the same function



Functions

- ▶ Several possible definitions of the **identity** function:
 - ▶ $id(n) = n$
 - ▶ $id(n) = n + 1 - 1$
 - ▶ $id(n) = n + 2 - 2$
 - ▶ ...
- ▶ **Infinitely** many textual representations for the same function
- ▶ Then... what is a function?



Functions

- ▶ Several possible definitions of the **identity** function:
 - ▶ $id(n) = n$
 - ▶ $id(n) = n + 1 - 1$
 - ▶ $id(n) = n + 2 - 2$
 - ▶ ...
- ▶ **Infinitely** many textual representations for the same function
- ▶ Then... what is a function? A **relation** between inputs and outputs, **independent** of any textual representation e.g.,
 $id = \{(0,0), (1,1), (2,2), \dots\}$



Perspectives on recursion

- ▶ **Textual**: a function that refers itself, using its **name**



Perspectives on recursion

- ▶ **Textual**: a function that refers itself, using its **name**
- ▶ **Constructivist**: recursive functions as values of an **ADT**, with specific ways of building them



Perspectives on recursion

- ▶ **Textual**: a function that refers itself, using its **name**
- ▶ **Constructivist**: recursive functions as values of an **ADT**, with specific ways of building them
- ▶ **Semantic**: the mathematical **object** designated by a recursive function



Implementing *length*

Problem

- ▶ Length of a list:

length $\equiv_{\text{def}} \lambda L. (\text{if } (\text{null? } L) \text{ zero } (\text{succ } (\underline{\text{length}} (\text{cdr } L))))$



Implementing *length*

Problem

- ▶ Length of a list:

length $\equiv_{\text{def}} \lambda L.(\text{if } (\text{null? } L) \text{ zero } (\text{succ } (\underline{\text{length}} (\text{cdr } L))))$

- ▶ What do we **replace** the underlined area with, to avoid textual recursion?



Implementing *length*

Problem

- ▶ Length of a list:

$\textit{length} \equiv_{\text{def}} \lambda L. (\textit{if} (\textit{null?} L) \textit{zero} (\textit{succ} (\underline{\textit{length}} (\textit{cdr} L))))$

- ▶ What do we **replace** the underlined area with, to avoid textual recursion?
- ▶ Rewrite the definition as a **fixed-point** equation

$\textit{Length} \equiv_{\text{def}} \lambda f L. (\textit{if} (\textit{null?} L) \textit{zero} (\textit{succ} (f (\textit{cdr} L))))$
 $(\textit{Length} \textit{length}) \rightarrow \textit{length}$



Implementing *length*

Problem

- ▶ Length of a list:

$\text{length} \equiv_{\text{def}} \lambda L. (\text{if } (\text{null? } L) \text{ zero } (\text{succ } (\text{length } (\text{cdr } L))))$

- ▶ What do we **replace** the underlined area with, to avoid textual recursion?
- ▶ Rewrite the definition as a **fixed-point** equation

$\text{Length} \equiv_{\text{def}} \lambda f L. (\text{if } (\text{null? } L) \text{ zero } (\text{succ } (f (\text{cdr } L))))$
 $(\text{Length } \text{length}) \rightarrow \text{length}$

- ▶ How do we **compute** the fixed point? (see code archive)



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Axiomatization benefits

- ▶ Disambiguation
- ▶ Proof of **properties**
- ▶ **Implementation** skeleton



Syntax

- ▶ Variable:

$Var ::=$ any symbol distinct from $\lambda, ., (,)$



Syntax

- ▶ Variable:

$Var ::=$ any symbol distinct from $\lambda, ., (,)$

- ▶ Expression:

$Expr ::= Var$
| $\lambda Var.Expr$
| $(Expr Expr)$



Syntax

- ▶ Variable:

$Var ::=$ any symbol distinct from λ , $.$, $($, $)$

- ▶ Expression:

$Expr ::= Var$
| $\lambda Var.Expr$
| $(Expr Expr)$

- ▶ Value:

$Val ::= \lambda Var.Expr$



Evaluation rules

Rule name:

$$\frac{\textit{precondition}_1, \dots, \textit{precondition}_n}{\textit{conclusion}}$$



Semantics for normal-order evaluation

Evaluation

- ▶ *Reduce*:

$$(\lambda x.e \ e') \rightarrow e_{[e'/x]}$$



Semantics for normal-order evaluation

Evaluation

- ▶ *Reduce*:

$$(\lambda x.e e') \rightarrow e_{[e'/x]}$$

- ▶ *Eval*:

$$\frac{e \rightarrow e'}{(e e'') \rightarrow (e' e'')}$$



Semantics for normal-order evaluation

Substitution

▶ $x_{[e/x]} =$



Semantics for normal-order evaluation

Substitution

- ▶ $x_{[e/x]} = e$

- ▶ $y_{[e/x]} =$



Semantics for normal-order evaluation

Substitution

- ▶ $x_{[e/x]} = e$

- ▶ $y_{[e/x]} = y$



Semantics for normal-order evaluation

Substitution

- ▶ $x_{[e/x]} = e$
- ▶ $y_{[e/x]} = y, \quad y \neq x$
- ▶ $\langle \lambda x.e \rangle_{[e'/x]} =$



Semantics for normal-order evaluation

Substitution

- ▶ $x_{[e/x]} = e$
- ▶ $y_{[e/x]} = y, \quad y \neq x$
- ▶ $\langle \lambda x.e \rangle_{[e'/x]} = \lambda x.e$
- ▶ $\langle \lambda y.e \rangle_{[e'/x]} =$



Semantics for normal-order evaluation

Substitution

- ▶ $x_{[e/x]} = e$
- ▶ $y_{[e/x]} = y, \quad y \neq x$
- ▶ $\langle \lambda x.e \rangle_{[e'/x]} = \lambda x.e$
- ▶ $\langle \lambda y.e \rangle_{[e'/x]} = \lambda y.e_{[e'/x]}$



Semantics for normal-order evaluation

Substitution

- ▶ $x_{[e/x]} = e$
- ▶ $y_{[e/x]} = y, \quad y \neq x$
- ▶ $\langle \lambda x.e \rangle_{[e'/x]} = \lambda x.e$
- ▶ $\langle \lambda y.e \rangle_{[e'/x]} = \lambda y.e_{[e'/x]}, \quad y \neq x$



Semantics for normal-order evaluation

Substitution

- ▶ $x_{[e/x]} = e$
- ▶ $y_{[e/x]} = y, \quad y \neq x$
- ▶ $\langle \lambda x.e \rangle_{[e'/x]} = \lambda x.e$
- ▶ $\langle \lambda y.e \rangle_{[e'/x]} = \lambda y.e_{[e'/x]}, \quad y \neq x \wedge y \notin FV(e')$
- ▶ $\langle \lambda y.e \rangle_{[e'/x]} = \lambda z.e_{[z/y][e'/x]},$
 $y \neq x \wedge y \in FV(e') \wedge z \notin FV(e) \cup FV(e')$
- ▶ $(e' e'')_{[e/x]} =$



Semantics for normal-order evaluation

Substitution

- ▶ $x_{[e/x]} = e$
- ▶ $y_{[e/x]} = y, \quad y \neq x$
- ▶ $\langle \lambda x.e \rangle_{[e'/x]} = \lambda x.e$
- ▶ $\langle \lambda y.e \rangle_{[e'/x]} = \lambda y.e_{[e'/x]}, \quad y \neq x \wedge y \notin FV(e')$
- ▶ $\langle \lambda y.e \rangle_{[e'/x]} = \lambda z.e_{[z/y][e'/x]},$
 $y \neq x \wedge y \in FV(e') \wedge z \notin FV(e) \cup FV(e')$
- ▶ $(e' e'')_{[e/x]} = (e'_{[e/x]} e''_{[e/x]})$



Semantics for normal-order evaluation

Free variables

- ▶ $FV(x) = \{x\}$
- ▶ $FV(\lambda x.e) = FV(e) \setminus \{x\}$
- ▶ $FV((e' e'')) = FV(e') \cup FV(e'')$



Semantics for normal-order evaluation

Example

Example 12.1 (Evaluation rules).

$((\lambda x.\lambda y.y a) b)$

_____ ()



Semantics for normal-order evaluation

Example

Example 12.1 (Evaluation rules).

$((\lambda x.\lambda y.y a) b)$

$(\lambda x.\lambda y.y a) \rightarrow \lambda y.y$ (*Reduce*) ()



Semantics for normal-order evaluation

Example

Example 12.1 (Evaluation rules).

$((\lambda x.\lambda y.y a) b)$

$$\frac{(\lambda x.\lambda y.y a) \rightarrow \lambda y.y \quad (\text{Reduce})}{((\lambda x.\lambda y.y a) b) \rightarrow (\lambda y.y b)} \quad (\text{Eval})$$



Semantics for normal-order evaluation

Example

Example 12.1 (Evaluation rules).

$$((\lambda x.\lambda y.y a) b)$$

$$\frac{(\lambda x.\lambda y.y a) \rightarrow \lambda y.y \quad (\text{Reduce})}{((\lambda x.\lambda y.y a) b) \rightarrow (\lambda y.y b)} \quad (\text{Eval})$$

$$(\lambda y.y b) \rightarrow b \quad (\text{Reduce})$$


Semantics for applicative-order evaluation

Evaluation

- ▶ *Reduce* ($v \in \text{Val}$):

$$(\lambda x.e \ v) \rightarrow e_{[v/x]}$$



Semantics for applicative-order evaluation

Evaluation

- ▶ *Reduce* ($v \in \text{Val}$):

$$(\lambda x.e \ v) \rightarrow e_{[v/x]}$$

- ▶ *Eval*₁:

$$\frac{e \rightarrow e'}{(e \ e') \rightarrow (e' \ e')}$$



Semantics for applicative-order evaluation

Evaluation

- ▶ *Reduce* ($v \in \text{Val}$):

$$(\lambda x. e \ v) \rightarrow e_{[v/x]}$$

- ▶ *Eval*₁:

$$\frac{e \rightarrow e'}{(e \ e'') \rightarrow (e' \ e'')}$$

- ▶ *Eval*₂ ($e \notin \text{Val}$):

$$\frac{e \rightarrow e'}{(\lambda x. e'' \ e) \rightarrow (\lambda x. e'' \ e')}$$



Formal proof

Proposition 12.2 (Free and bound variables).

$$\forall e \in Expr \bullet BV(e) \cap FV(e) = \emptyset$$



Formal proof

Proposition 12.2 (Free and bound variables).

$$\forall e \in Expr \bullet BV(e) \cap FV(e) = \emptyset$$

Proof.

Structural induction, according to the different forms of λ -expressions (see the lecture notes). □



Summary

- ▶ Practical usage of the untyped lambda calculus, as a programming language
- ▶ Formal specifications, for different evaluation semantics



Part IV

Typed Lambda Calculus



Contents

Introduction

Simply Typed Lambda Calculus (STLC, System F_1)

Extending STLC

Polymorphic Lambda Calculus (PSTLC, System F)

Type reconstruction

Higher-Order Polymorphic Lambda Calculus (HPSTLC,
System F_ω)



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Drawbacks of the absence of types

- ▶ **Meaningless** expressions e.g., (*car* 3)



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- ▶ **Impossibility** of translating certain expressions into certain typed languages e.g., ($x\ x$), Ω , *Fix*



Drawbacks of the absence of types

- ▶ **Meaningless** expressions e.g., (*car* 3)
- ▶ **No** canonical representation for the values of a given type e.g., both a tree and a set having the same representation
- ▶ **Impossibility** of translating certain expressions into certain typed languages e.g., ($x\ x$), Ω , *Fix*
- ▶ Potential **irreducibility** of expressions — inconsistent representation of equivalent values

$\lambda x.(Fix\ x) \rightarrow \lambda x.(x\ (Fix\ x)) \rightarrow \lambda x.(x\ (x\ (Fix\ x))) \rightarrow \dots$



Solution

- ▶ **Restricted** ways of constructing expressions, depending on the types of their parts



Solution

- ▶ **Restricted** ways of constructing expressions, depending on the types of their parts

- ▶ Sacrificed expressivity in change for **soundness**



Desired properties

Definition 13.1 (Progress).

A well-typed expression is either a **value** or is subject to at least one **reduction** step.



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A well-typed expression is either a **value** or is subject to at least one **reduction** step.

Definition 13.2 (Preservation).

The result obtained by reducing a well-typed expression is **well-typed**. Usually, the type is the same.



Desired properties

Definition 13.1 (Progress).

A well-typed expression is either a **value** or is subject to at least one **reduction** step.

Definition 13.2 (Preservation).

The result obtained by reducing a well-typed expression is **well-typed**. Usually, the type is the same.

Definition 13.3 (Strong normalization).

The evaluation of a well-typed expression **terminates**.



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Base and simple types

Definition 14.1 (Base type).

An **atomic** type e.g., numbers, booleans etc.



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- ▶ $e : \tau$: “expression e has type τ ”



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- ▶ $e \in \tau \Rightarrow e : \tau$



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Notation:

- ▶ $e : \tau$: “expression e has type τ ”
- ▶ $v \in \tau$: “ v is a value of type τ ”
- ▶ $e \in \tau \Rightarrow e : \tau$
- ▶ $e : \tau \not\Rightarrow e \in \tau$



Typed λ -expressions

Definition 14.3 (λ_t -expression).

- ▶ **Base value**: a base value $b \in \tau_b$ is a λ_t -expression.



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Definition 14.3 (λ_t -expression).

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- ▶ **Function**: if $x : \sigma$ is a typed variable and $e : \tau$ is a λ_t -expression, then $\lambda x : \sigma. e : \sigma \rightarrow \tau$ is a λ_t -expression, which stands for



Typed λ -expressions

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- ▶ **Application**: if $f : \sigma \rightarrow \tau$ and $a : \sigma$ are λ_t -expressions, then $(f a) : \tau$ is a λ_t -expression, which stands for



Relation to untyped lambda calculus

Similarities

- ▶ β -reduction
- ▶ α -conversion
- ▶ normal forms
- ▶ Church-Rosser theorem



Relation to untyped lambda calculus

Similarities

- ▶ β -reduction
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Differences

- ▶ $(x : \tau \ x : \tau)$ **invalid**



Relation to untyped lambda calculus

Similarities

- ▶ β -reduction
- ▶ α -conversion
- ▶ normal forms
- ▶ Church-Rosser theorem

Differences

- ▶ $(x : \tau \ x : \tau)$ **invalid**
- ▶ some fixed-point combinators are **invalid**



Syntax

Expressions

- ▶ Variables:

Var ::= ...



Syntax

Expressions

- ▶ Variables:

$$\textit{Var} ::= \dots$$

- ▶ Expressions:

$$\begin{aligned} \textit{Expr} & ::= \textit{Val} \\ & | \textit{Var} \\ & | (\textit{Expr} \textit{Expr}) \end{aligned}$$


Syntax

Expressions

- ▶ Variables:

$$\textit{Var} ::= \dots$$

- ▶ Expressions:

$$\begin{aligned} \textit{Expr} & ::= \textit{Val} \\ & | \textit{Var} \\ & | (\textit{Expr} \textit{Expr}) \end{aligned}$$

- ▶ Values:

$$\begin{aligned} \textit{Val} & ::= \textit{BaseVal} \\ & | \lambda \textit{Var} : \textit{Type}. \textit{Expr} \end{aligned}$$


Syntax

Types

- ▶ Types:

$$\begin{array}{l} \textit{Type} \quad ::= \quad \textit{BaseType} \\ \quad \quad | \quad (\textit{Type} \rightarrow \textit{Type}) \end{array}$$


Syntax

Types

- ▶ Types:

$$\begin{array}{l} \textit{Type} ::= \textit{BaseType} \\ | \quad (\textit{Type} \rightarrow \textit{Type}) \end{array}$$

- ▶ Typing contexts:

$$\begin{array}{l} \textit{TypingContext} ::= \emptyset \\ | \quad \textit{TypingContext}, \textit{Var} : \textit{Type} \end{array}$$


Syntax

Types

- ▶ Types:

$$\begin{array}{l} \textit{Type} \quad ::= \quad \textit{BaseType} \\ \quad \quad | \quad (\textit{Type} \rightarrow \textit{Type}) \end{array}$$

- ▶ Typing contexts:

- ▶ include variable-type associations
i.e., *typing hypotheses*

$$\begin{array}{l} \textit{TypingContext} \quad ::= \quad \emptyset \\ \quad \quad | \quad \textit{TypingContext}, \textit{Var} : \textit{Type} \end{array}$$


Semantics for normal-order evaluation

Evaluation

- ▶ *Reduce*:

$$(\lambda x : \tau. e \ e') \rightarrow e_{[e'/x]}$$



Semantics for normal-order evaluation

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- ▶ *Reduce*:

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Semantics for normal-order evaluation

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- ▶ *Reduce*:

$$(\lambda x : \tau. e \ e') \rightarrow e_{[e'/x]}$$

- ▶ *Eval*:

$$\frac{e \rightarrow e'}{(e \ e'') \rightarrow (e' \ e'')}$$

The type annotations are **ignored**,
since typing **precedes** evaluation.



Semantics

Typing

- ▶ *TBaseVal*:

$$\frac{v \in \tau_b}{\Gamma \vdash v : \tau_b}$$



Semantics

Typing

- ▶ *TBaseVal*:

$$\frac{V \in \tau_b}{\Gamma \vdash V : \tau_b}$$

- ▶ *TVar*:

$$\frac{x : \tau \in \Gamma}{\Gamma \vdash x : \tau}$$



Semantics

Typing

- ▶ *TBaseVal*:

$$\frac{v \in \tau_b}{\Gamma \vdash v : \tau_b}$$

- ▶ *TVar*:

$$\frac{x : \tau \in \Gamma}{\Gamma \vdash x : \tau}$$

- ▶ *TAbs*:

$$\frac{\Gamma, x : \tau \vdash e : \tau'}{\Gamma \vdash \lambda x : \tau. e : (\tau \rightarrow \tau')}$$



Semantics

Typing

- ▶ *TBaseVal*:

$$\frac{v \in \tau_b}{\Gamma \vdash v : \tau_b}$$

- ▶ *TVar*:

$$\frac{x : \tau \in \Gamma}{\Gamma \vdash x : \tau}$$

- ▶ *TAbs*:

$$\frac{\Gamma, x : \tau \vdash e : \tau'}{\Gamma \vdash \lambda x : \tau. e : (\tau \rightarrow \tau')}$$

- ▶ *TApp*:

$$\frac{\Gamma \vdash e : (\tau' \rightarrow \tau) \quad \Gamma \vdash e' : \tau'}{\Gamma \vdash (e \ e') : \tau}$$



Typing example

Example 14.4 (Typing).

$$\lambda x : \tau_1. \lambda y : \tau_2. x : (\tau_1 \rightarrow (\tau_2 \rightarrow \tau_1))$$

Blackboard!



Type systems

Definition 14.5 (Type system).

The set of rules and mechanisms used in a programming language to organize, build and handle the types accepted in the language.



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The set of rules and mechanisms used in a programming language to organize, build and handle the types accepted in the language.

Definition 14.6 (Soundness).

The type system of a language is *sound* if any well-typed expression in the language has the **progress** and **preservation** properties.



Type systems

Definition 14.5 (Type system).

The set of rules and mechanisms used in a programming language to organize, build and handle the types accepted in the language.

Definition 14.6 (Soundness).

The type system of a language is *sound* if any well-typed expression in the language has the **progress** and **preservation** properties.

Proposition 14.7.

*STLC is **sound** and possesses the **strong normalization** property.*



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Ways of extending STLC

1. Particular **base types**



Ways of extending STLC

1. Particular **base types**
2. n -ary **type constructors**, $n \geq 1$, which build simple types



The product type

Algebraic specification

- ▶ Base constructors i.e., canonical values:



The product type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\tau * \tau' ::= (\tau, \tau')$



The product type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\tau * \tau' ::= (\tau, \tau')$
- ▶ Operators:



The product type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\tau * \tau' ::= (\tau, \tau')$
- ▶ Operators:
 - ▶ $\text{fst} : \tau * \tau' \rightarrow \tau$



The product type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\tau * \tau' ::= (\tau, \tau')$
- ▶ Operators:
 - ▶ $fst : \tau * \tau' \rightarrow \tau$
 - ▶ $snd : \tau * \tau' \rightarrow \tau'$



The product type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\tau * \tau' ::= (\tau, \tau')$
- ▶ Operators:
 - ▶ $fst : \tau * \tau' \rightarrow \tau$
 - ▶ $snd : \tau * \tau' \rightarrow \tau'$
- ▶ Axioms ($e : \tau, e' : \tau'$):



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- ▶ Base constructors i.e., canonical values:
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- ▶ Operators:
 - ▶ $fst : \tau * \tau' \rightarrow \tau$
 - ▶ $snd : \tau * \tau' \rightarrow \tau'$
- ▶ Axioms ($e : \tau, e' : \tau'$):
 - ▶ $(fst (e, e')) \rightarrow e$



The product type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\tau * \tau' ::= (\tau, \tau')$
- ▶ Operators:
 - ▶ $fst : \tau * \tau' \rightarrow \tau$
 - ▶ $snd : \tau * \tau' \rightarrow \tau'$
- ▶ Axioms ($e : \tau, e' : \tau'$):
 - ▶ $(fst (e, e')) \rightarrow e$
 - ▶ $(snd (e, e')) \rightarrow e'$



The product type

Syntax



The product type

Syntax

$$\begin{aligned} \textit{Expr} &::= \dots \\ &| \textit{fst Expr} \\ &| \textit{snd Expr} \\ &| \textit{Expr, Expr} \end{aligned}$$


The product type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \textit{(fst Expr)} \\ & | \textit{(snd Expr)} \\ & | \textit{(Expr, Expr)} \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \textit{ProductVal} \end{aligned}$$


The product type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \textit{(fst Expr)} \\ & | \textit{(snd Expr)} \\ & | \textit{(Expr, Expr)} \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \textit{ProductVal} \end{aligned}$$
$$\textit{ProductVal} ::= \textit{(Val, Val)}$$


The product type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | (\textit{fst Expr}) \\ & | (\textit{snd Expr}) \\ & | (\textit{Expr}, \textit{Expr}) \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \textit{ProductVal} \end{aligned}$$
$$\textit{ProductVal} ::= (\textit{Val}, \textit{Val})$$
$$\begin{aligned} \textit{Type} & ::= \dots \\ & | (\textit{Type} * \textit{Type}) \end{aligned}$$


The product type

Evaluation

- ▶ *EvalFst*:

$$(\text{fst } (e, e')) \rightarrow e$$



The product type

Evaluation

- ▶ *EvalFst*:

$$(fst (e, e')) \rightarrow e$$

- ▶ *EvalSnd*:

$$(snd (e, e')) \rightarrow e'$$



The product type

Evaluation

- ▶ *EvalFst*:

$$(fst (e, e')) \rightarrow e$$

- ▶ *EvalSnd*:

$$(snd (e, e')) \rightarrow e'$$

- ▶ *EvalFstApp*:

$$\frac{e \rightarrow e'}{(fst e) \rightarrow (fst e')}$$



The product type

Evaluation

- ▶ *EvalFst*:

$$(fst (e, e')) \rightarrow e$$

- ▶ *EvalSnd*:

$$(snd (e, e')) \rightarrow e'$$

- ▶ *EvalFstApp*:

$$\frac{e \rightarrow e'}{(fst e) \rightarrow (fst e')}$$

- ▶ *EvalSndApp*:

$$\frac{e \rightarrow e'}{(snd e) \rightarrow (snd e')}$$



The product type

Typing

► *TProduct*:

$$\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash e' : \tau'}{\Gamma \vdash (e, e') : (\tau * \tau')}$$



The product type

Typing

- ▶ *TProduct*:

$$\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash e' : \tau'}{\Gamma \vdash (e, e') : (\tau * \tau')}$$

- ▶ *TFst*:

$$\frac{\Gamma \vdash e : (\tau * \tau')}{\Gamma \vdash (\text{fst } e) : \tau}$$



The product type

Typing

- ▶ *TProduct*:

$$\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash e' : \tau'}{\Gamma \vdash (e, e') : (\tau * \tau')}$$

- ▶ *TFst*:

$$\frac{\Gamma \vdash e : (\tau * \tau')}{\Gamma \vdash (\text{fst } e) : \tau}$$

- ▶ *TSnd*:

$$\frac{\Gamma \vdash e : (\tau * \tau')}{\Gamma \vdash (\text{snd } e) : \tau'}$$



The product type

Typing example

Example 15.1 (Typing).

$$\begin{aligned} \Gamma \vdash \lambda x : ((\rho * \tau) \rightarrow \sigma). \lambda y : \rho. \lambda z : \tau. (x (y, z)) \\ : ((\rho * \tau) \rightarrow \sigma) \rightarrow \rho \rightarrow \tau \rightarrow \sigma \end{aligned}$$

Blackboard!



The *Bool* type

Algebraic specification

- ▶ Base constructors i.e., canonical values:



The *Bool* type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ *Bool* ::= *True* | *False*



The *Bool* type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $Bool ::= True \mid False$
- ▶ Operators:



The *Bool* type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $Bool ::= True \mid False$
- ▶ Operators:
 - ▶ $not : Bool \rightarrow Bool$



The *Bool* type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $Bool ::= True \mid False$
- ▶ Operators:
 - ▶ $not : Bool \rightarrow Bool$
 - ▶ $and : Bool^2 \rightarrow Bool$



The *Bool* type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $Bool ::= True \mid False$
- ▶ Operators:
 - ▶ $not : Bool \rightarrow Bool$
 - ▶ $and : Bool^2 \rightarrow Bool$
 - ▶ $or : Bool^2 \rightarrow Bool$



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Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $Bool ::= True \mid False$
- ▶ Operators:
 - ▶ $not : Bool \rightarrow Bool$
 - ▶ $and : Bool^2 \rightarrow Bool$
 - ▶ $or : Bool^2 \rightarrow Bool$
 - ▶ $if : Bool \times \tau \times \tau \rightarrow \tau$



The *Bool* type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $Bool ::= True \mid False$
- ▶ Operators:
 - ▶ $not : Bool \rightarrow Bool$
 - ▶ $and : Bool^2 \rightarrow Bool$
 - ▶ $or : Bool^2 \rightarrow Bool$
 - ▶ $if : Bool \times \tau \times \tau \rightarrow \tau$
- ▶ Axioms: see slide 81



The *Bool* type

Syntax



The *Bool* type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \textit{(if Expr Expr Expr)} \end{aligned}$$


The *Bool* type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \quad (\textit{if Expr Expr Expr}) \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \quad \textit{BoolVal} \end{aligned}$$


The *Bool* type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \quad (\textit{if Expr Expr Expr}) \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \quad \textit{BoolVal} \end{aligned}$$
$$\textit{BoolVal} ::= \textit{True} | \textit{False}$$


The *Bool* type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \quad (\textit{if Expr Expr Expr}) \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \quad \textit{BoolVal} \end{aligned}$$
$$\textit{BoolVal} ::= \textit{True} | \textit{False}$$
$$\begin{aligned} \textit{BaseType} & ::= \dots \\ & | \quad \textit{Bool} \end{aligned}$$


The *Bool* type

Evaluation

► *E_{evalfT}*:

$(\text{if } \text{True } e \ e') \rightarrow e$



The *Bool* type

Evaluation

▶ *E_{evalfT}*:

$(\text{if } \text{True } e \ e') \rightarrow e$

▶ *E_{evalfF}*:

$(\text{if } \text{False } e \ e') \rightarrow e'$



The *Bool* type

Evaluation

- ▶ *EvallfT*:

$$(if\ True\ e\ e') \rightarrow e$$

- ▶ *EvallfF*:

$$(if\ False\ e\ e') \rightarrow e'$$

- ▶ *Evallf*:

$$\frac{e \rightarrow e'}{(if\ e\ e_1\ e_2) \rightarrow (if\ e'\ e_1\ e_2)}$$



The *Bool* type

Typing

▶ *TTrue*:

$$\Gamma \vdash \text{True} : \text{Bool}$$


The *Bool* type

Typing

- ▶ *TTrue*:

$$\Gamma \vdash \textit{True} : \textit{Bool}$$

- ▶ *TFalse*:

$$\Gamma \vdash \textit{False} : \textit{Bool}$$


The *Bool* type

Typing

- ▶ *TTrue*:

$$\Gamma \vdash \text{True} : \text{Bool}$$

- ▶ *TFalse*:

$$\Gamma \vdash \text{False} : \text{Bool}$$

- ▶ *TIf*:

$$\frac{\Gamma \vdash e : \text{Bool} \quad \Gamma \vdash e_1 : \tau \quad \Gamma \vdash e_2 : \tau}{\Gamma \vdash (\text{if } e \ e_1 \ e_2) : \tau}$$



The *Bool* type

Top-level variable bindings



The *Bool* type

Top-level variable bindings

- ▶ $not \equiv \lambda x : Bool. (if\ x\ False\ True)$



The *Bool* type

Top-level variable bindings

- ▶ $not \equiv \lambda x : Bool. (if\ x\ False\ True)$
- ▶ $and \equiv \lambda x : Bool. \lambda y : Bool. (if\ x\ y\ False)$



The *Bool* type

Top-level variable bindings

- ▶ $not \equiv \lambda x : Bool. (if\ x\ False\ True)$
- ▶ $and \equiv \lambda x : Bool. \lambda y : Bool. (if\ x\ y\ False)$
- ▶ $or \equiv \lambda x : Bool. \lambda y : Bool. (if\ x\ True\ y)$



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\mathbb{N} ::= 0 \mid (\mathit{succ} \ \mathbb{N})$



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\mathbb{N} ::= 0 \mid (\mathit{succ} \ \mathbb{N})$
- ▶ Operators:



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\mathbb{N} ::= 0 \mid (\mathit{succ} \ \mathbb{N})$
- ▶ Operators:
 - ▶ $+: \mathbb{N}^2 \rightarrow \mathbb{N}$



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\mathbb{N} ::= 0 \mid (\mathit{succ} \ \mathbb{N})$
- ▶ Operators:
 - ▶ $+$: $\mathbb{N}^2 \rightarrow \mathbb{N}$
 - ▶ $\mathit{zero?}$: $\mathbb{N} \rightarrow \mathit{Bool}$



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\mathbb{N} ::= 0 \mid (\mathit{succ} \ \mathbb{N})$
- ▶ Operators:
 - ▶ $+: \mathbb{N}^2 \rightarrow \mathbb{N}$
 - ▶ $\mathit{zero?} : \mathbb{N} \rightarrow \mathit{Bool}$
- ▶ Axioms ($m, n \in \mathbb{N}$):



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
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 - ▶ $+: \mathbb{N}^2 \rightarrow \mathbb{N}$
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- ▶ Axioms ($m, n \in \mathbb{N}$):
 - ▶ $(+ \ 0 \ n) = n$



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\mathbb{N} ::= 0 \mid (\mathit{succ} \ \mathbb{N})$
- ▶ Operators:
 - ▶ $+: \mathbb{N}^2 \rightarrow \mathbb{N}$
 - ▶ $\mathit{zero?} : \mathbb{N} \rightarrow \mathit{Bool}$
- ▶ Axioms ($m, n \in \mathbb{N}$):
 - ▶ $(+ \ 0 \ n) = n$
 - ▶ $(+ \ (\mathit{succ} \ m) \ n) = (\mathit{succ} \ (+ \ m \ n))$



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\mathbb{N} ::= 0 \mid (\mathit{succ} \ \mathbb{N})$
- ▶ Operators:
 - ▶ $+: \mathbb{N}^2 \rightarrow \mathbb{N}$
 - ▶ $\mathit{zero?} : \mathbb{N} \rightarrow \mathit{Bool}$
- ▶ Axioms ($m, n \in \mathbb{N}$):
 - ▶ $(+ \ 0 \ n) = n$
 - ▶ $(+ \ (\mathit{succ} \ m) \ n) = (\mathit{succ} \ (+ \ m \ n))$
 - ▶ $(\mathit{zero?} \ 0) = \mathit{True}$



The \mathbb{N} type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $\mathbb{N} ::= 0 \mid (\mathit{succ} \ \mathbb{N})$
- ▶ Operators:
 - ▶ $+: \mathbb{N}^2 \rightarrow \mathbb{N}$
 - ▶ $\mathit{zero?} : \mathbb{N} \rightarrow \mathit{Bool}$
- ▶ Axioms ($m, n \in \mathbb{N}$):
 - ▶ $(+ \ 0 \ n) = n$
 - ▶ $(+ \ (\mathit{succ} \ m) \ n) = (\mathit{succ} \ (+ \ m \ n))$
 - ▶ $(\mathit{zero?} \ 0) = \mathit{True}$
 - ▶ $(\mathit{zero?} \ (\mathit{succ} \ n)) = \mathit{False}$



The \mathbb{N} type

Operator semantics

- ▶ How to **avoid** defining evaluation and typing rules for each operator of \mathbb{N} ?



The \mathbb{N} type

Operator semantics

- ▶ How to **avoid** defining evaluation and typing rules for each operator of \mathbb{N} ?
- ▶ Introduce the **primitive recursor** for \mathbb{N} , $prec_{\mathbb{N}}$, which allows for defining any primitive recursive function on natural numbers



The \mathbb{N} type

Operator semantics

- ▶ How to **avoid** defining evaluation and typing rules for each operator of \mathbb{N} ?
- ▶ Introduce the **primitive recursor** for \mathbb{N} , $prec_{\mathbb{N}}$, which allows for defining any primitive recursive function on natural numbers
- ▶ Define the **operators** using the primitive recursor



The \mathbb{N} type

Syntax



The \mathbb{N} type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | (\textit{succ Expr}) \\ & | (\textit{prec}_{\mathbb{N}} \textit{Expr Expr Expr}) \end{aligned}$$


The \mathbb{N} type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \textit{succ Expr} \\ & | \textit{prec}_{\mathbb{N}} \textit{Expr Expr Expr} \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \textit{NVal} \end{aligned}$$


The \mathbb{N} type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \quad (\textit{succ Expr}) \\ & | \quad (\textit{prec}_{\mathbb{N}} \textit{Expr Expr Expr}) \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \quad \textit{NVal} \end{aligned}$$
$$\begin{aligned} \textit{NVal} & ::= 0 \\ & | \quad (\textit{succ NVal}) \end{aligned}$$


The \mathbb{N} type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \quad (\textit{succ Expr}) \\ & | \quad (\textit{prec}_{\mathbb{N}} \textit{Expr Expr Expr}) \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \quad \textit{NVal} \end{aligned}$$
$$\begin{aligned} \textit{NVal} & ::= 0 \\ & | \quad (\textit{succ NVal}) \end{aligned}$$
$$\begin{aligned} \textit{BaseType} & ::= \dots \\ & | \quad \mathbb{N} \end{aligned}$$


The \mathbb{N} type

Evaluation

- ▶ *EvalSucc*:

$$\frac{e \rightarrow e'}{(succ\ e) \rightarrow (succ\ e')}$$



The \mathbb{N} type

Evaluation

- ▶ *EvalSucc*:

$$\frac{e \rightarrow e'}{(succ\ e) \rightarrow (succ\ e')}$$

- ▶ *EvalPrec* _{$\mathbb{N}0$} :

$$(prec_{\mathbb{N}}\ e_0\ f\ 0) \rightarrow e_0$$



The \mathbb{N} type

Evaluation

- ▶ *EvalSucc*:

$$\frac{e \rightarrow e'}{(succ\ e) \rightarrow (succ\ e')}$$

- ▶ *EvalPrec* _{$\mathbb{N}0$} :

$$(prec_{\mathbb{N}}\ e_0\ f\ 0) \rightarrow e_0$$

- ▶ *EvalPrec* _{$\mathbb{N}1$} ($n \in \mathbb{N}$):

$$(prec_{\mathbb{N}}\ e_0\ f\ (succ\ n)) \rightarrow (f\ n\ (prec_{\mathbb{N}}\ e_0\ f\ n))$$



The \mathbb{N} type

Evaluation

- ▶ *EvalSucc*:

$$\frac{e \rightarrow e'}{(succ\ e) \rightarrow (succ\ e')}$$

- ▶ *EvalPrec* _{$\mathbb{N}0$} :

$$(prec_{\mathbb{N}}\ e_0\ f\ 0) \rightarrow e_0$$

- ▶ *EvalPrec* _{$\mathbb{N}1$} ($n \in \mathbb{N}$):

$$(prec_{\mathbb{N}}\ e_0\ f\ (succ\ n)) \rightarrow (f\ n\ (prec_{\mathbb{N}}\ e_0\ f\ n))$$

- ▶ *EvalPrec* _{$\mathbb{N}2$} :

$$\frac{e \rightarrow e'}{(prec_{\mathbb{N}}\ e_0\ f\ e) \rightarrow (prec_{\mathbb{N}}\ e_0\ f\ e')}$$



The \mathbb{N} type

Typing

- ▶ *TZero*:

$$\Gamma \vdash 0 : \mathbb{N}$$



The \mathbb{N} type

Typing

- ▶ *TZero*:

$$\Gamma \vdash 0 : \mathbb{N}$$

- ▶ *TSucc*:

$$\frac{\Gamma \vdash e : \mathbb{N}}{\Gamma \vdash (\mathit{succ} \ e) : \mathbb{N}}$$



The \mathbb{N} type

Typing

- ▶ *TZero*:

$$\Gamma \vdash 0 : \mathbb{N}$$

- ▶ *TSucc*:

$$\frac{\Gamma \vdash e : \mathbb{N}}{\Gamma \vdash (\text{succ } e) : \mathbb{N}}$$

- ▶ *TPrec _{\mathbb{N}}*

$$\frac{\Gamma \vdash e_0 : \tau \quad \Gamma \vdash f : \mathbb{N} \rightarrow \tau \rightarrow \tau \quad \Gamma \vdash e : \mathbb{N}}{\Gamma \vdash (\text{prec}_{\mathbb{N}} e_0 f e) : \tau}$$



The \mathbb{N} type

Top-level variable bindings



The \mathbb{N} type

Top-level variable bindings

▶ $zero? \equiv \lambda n : \mathbb{N}.(prec_{\mathbb{N}} \text{ True } \lambda x : \mathbb{N}.\lambda y : \text{Bool}.\text{False } n)$



The \mathbb{N} type

Top-level variable bindings

▶ $zero? \equiv \lambda n : \mathbb{N}.(prec_{\mathbb{N}} \text{ True } \lambda x : \mathbb{N}.\lambda y : \text{Bool}.\text{False } n)$

▶ $+ \equiv \lambda m : \mathbb{N}.\lambda n : \mathbb{N}.(prec_{\mathbb{N}} n \lambda x : \mathbb{N}.\lambda y : \mathbb{N}.(succ y) m)$



The (*List* τ) type

Algebraic specification

- ▶ Base constructors i.e., canonical values:



The $(List\ \tau)$ type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $(List\ \tau) ::= []_{\tau} \mid (cons\ \tau\ (List\ \tau))$



The (*List* τ) type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ (*List* τ) ::= [] _{τ} | (*cons* τ (*List* τ))
- ▶ Operators:



The (*List* τ) type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ (*List* τ) ::= $[]_{\tau}$ | (*cons* τ (*List* τ))
- ▶ Operators:
 - ▶ *head* : (*List* τ) \ {[]} \rightarrow τ



The (*List* τ) type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ (*List* τ) ::= $[\]_{\tau}$ | (*cons* τ (*List* τ))
- ▶ Operators:
 - ▶ *head* : (*List* τ) \ { $[\]$ } \rightarrow τ
 - ▶ *tail* : (*List* τ) \ { $[\]$ } \rightarrow (*List* τ)



The (*List* τ) type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ (*List* τ) ::= $[\]_{\tau}$ | (*cons* τ (*List* τ))
- ▶ Operators:
 - ▶ *head* : (*List* τ) \ { $[\]$ } \rightarrow τ
 - ▶ *tail* : (*List* τ) \ { $[\]$ } \rightarrow (*List* τ)
 - ▶ *length* : (*List* τ) \rightarrow \mathbb{N}



The $(List\ \tau)$ type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $(List\ \tau) ::= []_{\tau} \mid (cons\ \tau\ (List\ \tau))$
- ▶ Operators:
 - ▶ $head : (List\ \tau) \setminus \{[]\} \rightarrow \tau$
 - ▶ $tail : (List\ \tau) \setminus \{[]\} \rightarrow (List\ \tau)$
 - ▶ $length : (List\ \tau) \rightarrow \mathbb{N}$
- ▶ Axioms $(h \in \tau, t \in (List\ \tau))$:



The $(List\ \tau)$ type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $(List\ \tau) ::= []_{\tau} \mid (cons\ \tau\ (List\ \tau))$
- ▶ Operators:
 - ▶ $head : (List\ \tau) \setminus \{[]\} \rightarrow \tau$
 - ▶ $tail : (List\ \tau) \setminus \{[]\} \rightarrow (List\ \tau)$
 - ▶ $length : (List\ \tau) \rightarrow \mathbb{N}$
- ▶ Axioms ($h \in \tau, t \in (List\ \tau)$):
 - ▶ $(head\ (cons\ h\ t)) = h$



The $(List\ \tau)$ type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ $(List\ \tau) ::= []_{\tau} \mid (cons\ \tau\ (List\ \tau))$
- ▶ Operators:
 - ▶ $head : (List\ \tau) \setminus \{[]\} \rightarrow \tau$
 - ▶ $tail : (List\ \tau) \setminus \{[]\} \rightarrow (List\ \tau)$
 - ▶ $length : (List\ \tau) \rightarrow \mathbb{N}$
- ▶ Axioms ($h \in \tau, t \in (List\ \tau)$):
 - ▶ $(head\ (cons\ h\ t)) = h$
 - ▶ $(tail\ (cons\ h\ t)) = t$



The (*List* τ) type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ (*List* τ) ::= $[]_{\tau}$ | (*cons* τ (*List* τ))
- ▶ Operators:
 - ▶ *head* : (*List* τ) \ $\{[]\}$ \rightarrow τ
 - ▶ *tail* : (*List* τ) \ $\{[]\}$ \rightarrow (*List* τ)
 - ▶ *length* : (*List* τ) \rightarrow \mathbb{N}
- ▶ Axioms ($h \in \tau$, $t \in (\textit{List } \tau)$):
 - ▶ (*head* (*cons* h t)) = h
 - ▶ (*tail* (*cons* h t)) = t
 - ▶ (*length* $[]$) = 0



The (*List* τ) type

Algebraic specification

- ▶ Base constructors i.e., canonical values:
 - ▶ (*List* τ) ::= $[]_{\tau}$ | (*cons* τ (*List* τ))
- ▶ Operators:
 - ▶ *head* : (*List* τ) \ $\{[]\}$ \rightarrow τ
 - ▶ *tail* : (*List* τ) \ $\{[]\}$ \rightarrow (*List* τ)
 - ▶ *length* : (*List* τ) \rightarrow \mathbb{N}
- ▶ Axioms ($h \in \tau$, $t \in (\textit{List } \tau)$):
 - ▶ (*head* (*cons* h t)) = h
 - ▶ (*tail* (*cons* h t)) = t
 - ▶ (*length* $[]$) = 0
 - ▶ (*length* (*cons* h t)) = (*succ* (*length* t))



The (*List* τ) type

Syntax



The (*List* τ) type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | (\textit{cons Expr Expr}) \\ & | (\textit{prec}_L \textit{Expr Expr Expr}) \end{aligned}$$


The (*List* τ) type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \quad (\textit{cons} \textit{Expr} \textit{Expr}) \\ & | \quad (\textit{prec}_L \textit{Expr} \textit{Expr} \textit{Expr}) \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \quad \textit{ListVal} \end{aligned}$$


The (*List* τ) type

Syntax

$$\begin{aligned} \textit{Expr} & ::= \dots \\ & | \quad (\textit{cons Expr Expr}) \\ & | \quad (\textit{prec}_L \textit{Expr Expr Expr}) \end{aligned}$$
$$\begin{aligned} \textit{BaseVal} & ::= \dots \\ & | \quad \textit{ListVal} \end{aligned}$$
$$\begin{aligned} \textit{ListVal} & ::= [] \\ & | \quad (\textit{cons Value ListVal}) \end{aligned}$$


The (*List* τ) type

Syntax

Expr ::= ...
| (*cons Expr Expr*)
| (*prec_L Expr Expr Expr*)

BaseVal ::= ...
| *ListVal*

ListVal ::= []
| (*cons Value ListVal*)

Type ::= ...
| (*List Type*)



The (*List* τ) type

Evaluation

- ▶ *EvalCons*:

$$\frac{e \rightarrow e'}{(cons\ e\ e') \rightarrow (cons\ e'\ e')}$$



The (*List* τ) type

Evaluation

- ▶ *EvalCons*:

$$\frac{e \rightarrow e'}{(cons\ e\ e'') \rightarrow (cons\ e'\ e'')}$$

- ▶ *EvalPrec*_{L0}:

$$(prec_L\ e_0\ f\ []) \rightarrow e_0$$



The (*List* τ) type

Evaluation

- ▶ *EvalCons*:

$$\frac{e \rightarrow e'}{(cons\ e\ e'') \rightarrow (cons\ e'\ e'')}$$

- ▶ *EvalPrec*_{L0}:

$$(prec_L\ e_0\ f\ []) \rightarrow e_0$$

- ▶ *EvalPrec*_{L1} ($v \in Value$):

$$(prec_L\ e_0\ f\ (cons\ v\ e)) \rightarrow (f\ v\ e\ (prec_L\ e_0\ f\ e))$$



The (*List* τ) type

Evaluation

- ▶ *EvalCons*:

$$\frac{e \rightarrow e'}{(cons\ e\ e'') \rightarrow (cons\ e'\ e'')}$$

- ▶ *EvalPrec*_{L0}:

$$(prec_L\ e_0\ f\ []) \rightarrow e_0$$

- ▶ *EvalPrec*_{L1} ($v \in Value$):

$$(prec_L\ e_0\ f\ (cons\ v\ e)) \rightarrow (f\ v\ e\ (prec_L\ e_0\ f\ e))$$

- ▶ *EvalPrec*_{L2}:

$$\frac{e \rightarrow e'}{(prec_L\ e_0\ f\ e) \rightarrow (prec_L\ e_0\ f\ e')}$$



The (*List* τ) type

Typing

- ▶ *TEmpty*:

$$\Gamma \vdash []_{\tau} : (\textit{List } \tau)$$



The (*List* τ) type

Typing

- ▶ *TEmpty*:

$$\Gamma \vdash []_{\tau} : (\textit{List } \tau)$$

- ▶ *TCons*:

$$\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash e' : (\textit{List } \tau)}{\Gamma \vdash (\textit{cons } e e') : (\textit{List } \tau)}$$



The (*List* τ) type

Typing

- ▶ *TEmpty*:

$$\Gamma \vdash []_{\tau} : (\textit{List } \tau)$$

- ▶ *TCons*:

$$\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash e' : (\textit{List } \tau)}{\Gamma \vdash (\textit{cons } e \ e') : (\textit{List } \tau)}$$

- ▶ *TPrec_L*:

$$\frac{\Gamma \vdash e_0 : \tau' \quad \Gamma \vdash f : \tau \rightarrow (\textit{List } \tau) \rightarrow \tau' \rightarrow \tau' \quad \Gamma \vdash e : (\textit{List } \tau)}{\Gamma \vdash (\textit{prec}_L \ e_0 \ f \ e) : \tau'}$$



The (*List* τ) type

Top-level variable bindings



The $(List\ \tau)$ type

Top-level variable bindings

- ▶ $empty? \equiv \lambda l : (List\ \tau). (prec_L\ True\ f\ l),$
 $f \equiv \lambda h : \tau. \lambda t : (List\ \tau). \lambda r : Bool. False$



The $(List\ \tau)$ type

Top-level variable bindings

- ▶ $empty? \equiv \lambda l : (List\ \tau).(prec_L\ True\ f\ l),$
 $f \equiv \lambda h : \tau.\lambda t : (List\ \tau).\lambda r : Bool.False$

- ▶ $length \equiv \lambda l : (List\ \tau).(prec_L\ 0\ f\ l),$
 $f \equiv \lambda h : \tau.\lambda t : (List\ \tau).\lambda r : \mathbb{N}.(succ\ r)$



General recursion

- ▶ Primitive recursion



General recursion

- ▶ Primitive recursion
 - ▶ induces *strong normalization*



General recursion

- ▶ Primitive recursion
 - ▶ induces *strong normalization*
 - ▶ **insufficient** for capturing effectively computable functions



General recursion

- ▶ Primitive recursion
 - ▶ induces *strong normalization*
 - ▶ **insufficient** for capturing effectively computable functions

- ▶ Introduce the operator *fix* i.e., a fixed-point combinator



General recursion

- ▶ Primitive recursion
 - ▶ induces *strong normalization*
 - ▶ **insufficient** for capturing effectively computable functions
- ▶ Introduce the operator **fix** i.e., a fixed-point combinator
- ▶ Gain computation power at the **expense** of strong normalization



fix

Syntax



$$\begin{array}{l} \textit{Expr} ::= \dots \\ \quad | \quad (\textit{fix Expr}) \end{array}$$

fix

Evaluation

► *EvalFix*:

$$(fix \lambda x : \tau. e) \rightarrow e_{[(fix \lambda x : \tau. e) / x]} = (f (fix f))$$



fix

Evaluation

- ▶ *EvalFix*:

$$(\text{fix } \lambda x : \tau. e) \rightarrow e_{[(\text{fix } \lambda x : \tau. e) / x]} = (f (\text{fix } f))$$

- ▶ *EvalFix'*:

$$\frac{e \rightarrow e'}{(\text{fix } e) \rightarrow (\text{fix } e')}$$



fix

Typing

► *TFix*:

$$\frac{\Gamma \vdash e : (\tau \rightarrow \tau)}{\Gamma \vdash (\mathit{fix} \ e) : \tau}$$



Example 15.2 (The *remainder* function).
$$\mathit{remainder} = \lambda m : \mathbb{N} . \lambda n : \mathbb{N} .$$
$$((\mathit{fix} \ \lambda f : (\mathbb{N} \rightarrow \mathbb{N}) . \lambda p : \mathbb{N} .$$
$$(\text{if } p < n \text{ then } p \text{ else } (f \ (p - n)))) \ m)$$

The evaluation of $(\mathit{remainder} \ 3 \ 0)$ does **not** terminate.

Monomorphism

- ▶ Within the types $(\tau * \tau')$ and $(List \ \tau)$, τ and τ' designate **specific** types e.g., $Bool$, \mathbb{N} , $(List \ \mathbb{N})$, etc.



Monomorphism

- ▶ Within the types $(\tau * \tau')$ and $(List \ \tau)$, τ and τ' designate **specific** types e.g., *Bool*, \mathbb{N} , $(List \ \mathbb{N})$, etc.
- ▶ **Dedicated** operators for each simple type



Monomorphism

- ▶ Within the types $(\tau * \tau')$ and $(List \ \tau)$, τ and τ' designate **specific** types e.g., $Bool$, \mathbb{N} , $(List \ \mathbb{N})$, etc.
- ▶ **Dedicated** operators for each simple type
- ▶ $fst_{\mathbb{N}, Bool}$, $fst_{Bool, \mathbb{N}}$, ...



Monomorphism

- ▶ Within the types $(\tau * \tau')$ and $(List \ \tau)$, τ and τ' designate **specific** types e.g., $Bool$, \mathbb{N} , $(List \ \mathbb{N})$, etc.
- ▶ **Dedicated** operators for each simple type
- ▶ $fst_{\mathbb{N}, Bool}$, $fst_{Bool, \mathbb{N}}$, \dots
- ▶ $[]_{\mathbb{N}}$, $[]_{Bool}$, \dots



Monomorphism

- ▶ Within the types $(\tau * \tau')$ and $(List \ \tau)$, τ and τ' designate **specific** types e.g., $Bool$, \mathbb{N} , $(List \ \mathbb{N})$, etc.
- ▶ **Dedicated** operators for each simple type
- ▶ $fst_{\mathbb{N}, Bool}$, $fst_{Bool, \mathbb{N}}$, \dots
- ▶ $[]_{\mathbb{N}}$, $[]_{Bool}$, \dots
- ▶ $empty?_{\mathbb{N}}$, $empty?_{Bool}$, \dots



Contents

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System F_ω)



Idea

- ▶ **Monomorphic** identity function for type \mathbb{N} :

$$id_{\mathbb{N}} \equiv \lambda x : \mathbb{N}. x : (\mathbb{N} \rightarrow \mathbb{N})$$



Idea

- ▶ **Monomorphic** identity function for type \mathbb{N} :

$$id_{\mathbb{N}} \equiv \lambda x : \mathbb{N}. x : (\mathbb{N} \rightarrow \mathbb{N})$$

- ▶ **Polymorphic** identity function — type variables:

$$id \equiv \lambda X. \lambda x : X. x : \forall X. (X \rightarrow X)$$



Idea

- ▶ **Monomorphic** identity function for type \mathbb{N} :

$$id_{\mathbb{N}} \equiv \lambda x : \mathbb{N}. x : (\mathbb{N} \rightarrow \mathbb{N})$$

- ▶ **Polymorphic** identity function — type variables:

$$id \equiv \lambda X. \lambda x : X. x : \forall X. (X \rightarrow X)$$

- ▶ **Type coercion** prior to function application:

$$(id[\mathbb{N}] 5) \rightarrow (id_{\mathbb{N}} 5) \rightarrow 5$$



Syntax

- ▶ **Program** variables: stand for program values

Var ::= ...



Syntax

- ▶ **Program** variables: stand for program values

$$\textit{Var} ::= \dots$$

- ▶ **Type** variables: stand for types

$$\textit{TypeVar} ::= \dots$$


Syntax

- ▶ Expressions:

$$\begin{aligned} \textit{Expr} & ::= \textit{Value} \\ & | \textit{Var} \\ & | (\textit{Expr} \textit{Expr}) \\ & | \textit{Expr}[\textit{Type}] \end{aligned}$$


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$$\begin{aligned} \textit{Value} & ::= \textit{BaseValue} \\ & | \lambda \textit{Var} : \textit{Type}. \textit{Expr} \\ & | \lambda \textit{TypeVar}. \textit{Expr} \end{aligned}$$


Syntax

- ▶ Types:

$$\begin{aligned} \textit{Type} & ::= \textit{BaseType} \\ & | \textit{TypeVar} \\ & | (\textit{Type} \rightarrow \textit{Type}) \\ & | \forall \textit{TypeVar}. \textit{Type} \end{aligned}$$


Syntax

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- ▶ Typing contexts:

$$\begin{aligned} \textit{TypingContext} & ::= \emptyset \\ & | \textit{TypingContext}, \textit{Var} : \textit{Type} \\ & | \textit{TypingContext}, \textit{TypeVar} \end{aligned}$$


Semantics

Evaluation

► *Reduce*₁:

$$(\lambda x : \tau. e \ e') \rightarrow e_{[e'/x]}$$



Semantics

Evaluation

- ▶ *Reduce*₁:

$$(\lambda x : \tau. e \ e') \rightarrow e_{[e'/x]}$$

- ▶ *Reduce*₂:

$$\lambda X. e[\tau] \rightarrow e_{[\tau/X]}$$



Semantics

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- ▶ *Eval*₂:

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Semantics

Typing

- ▶ *TBaseValue*:

$$\frac{V \in \tau_b}{\Gamma \vdash V : \tau_b}$$



Semantics

Typing

- ▶ *TBaseValue*:

$$\frac{V \in \tau_b}{\Gamma \vdash V : \tau_b}$$

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$$\frac{x : \tau \in \Gamma}{\Gamma \vdash x : \tau}$$



Semantics

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$$\frac{\Gamma \vdash e : (\tau' \rightarrow \tau) \quad \Gamma \vdash e' : \tau'}{\Gamma \vdash (e e') : \tau}$$



Semantics

Typing

- ▶ *TAbs₂* — polymorphic expressions have universal types:

$$\frac{\Gamma, X \vdash e : \tau}{\Gamma \vdash \lambda X. e : \forall X. \tau}$$



Semantics

Typing

- ▶ $TAbs_2$ — polymorphic expressions have universal types:

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- ▶ $TApp_2$:

$$\frac{\Gamma \vdash e : \forall X. \tau}{\Gamma \vdash e[\tau'] : \tau_{[\tau'/X]}}$$



Semantics

Substitution and free variables

▶ $Expr_{[Expr/Var]}$



Semantics

Substitution and free variables

- ▶ $Expr_{[Expr/Var]}$
- ▶ $Expr_{[Type/TypeVar]}$



Semantics

Substitution and free variables

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Semantics

Substitution and free variables

- ▶ $Expr_{[Expr/Var]}$
- ▶ $Expr_{[Type/TypeVar]}$
- ▶ $Type_{[Type/TypeVar]}$
- ▶ Free program variables



Semantics

Substitution and free variables

- ▶ $Expr_{[Expr/Var]}$
- ▶ $Expr_{[Type/TypeVar]}$
- ▶ $Type_{[Type/TypeVar]}$
- ▶ Free program variables
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Typing example

Example 16.1 (Typing).

$$\begin{aligned} \Gamma \vdash \lambda f : \forall X. (X \rightarrow X). \lambda Y. \lambda x : Y. (f[Y] x) \\ : (\forall X. (X \rightarrow X) \rightarrow \forall Y. (Y \rightarrow Y)) \end{aligned}$$



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Monomorphic function
with **polymorphic** argument and result!



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Monomorphic function
with **polymorphic** argument and result!

Blackboard!



Examples of polymorphic expressions

Example 16.2 (Doubling a computation).

double \equiv



Examples of polymorphic expressions

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double \equiv $\lambda X.\lambda f:(X \rightarrow X).\lambda x:X.(f (f x))$



Examples of polymorphic expressions

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$$\begin{aligned} \mathit{double} &\equiv \lambda X.\lambda f:(X \rightarrow X).\lambda x:X.(f (f x)) \\ &: \forall X.((X \rightarrow X) \rightarrow (X \rightarrow X)) \end{aligned}$$



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Example 16.3 (Quadrupling a computation).

$$\mathit{quadruple} \equiv$$



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Example 16.3 (Quadrupling a computation).

$$\text{quadruple} \equiv \lambda X. (\text{double}[X \rightarrow X] \text{ double}[X])$$



Examples of polymorphic expressions

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Examples of polymorphic expressions

Example 16.4 (Reflexive computation).

reflexive \equiv



Examples of polymorphic expressions

Example 16.4 (Reflexive computation).

$$\mathit{reflexive} \equiv \lambda f : \forall X. (X \rightarrow X). (f[\forall X. (X \rightarrow X)] f)$$



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Examples of polymorphic expressions

Example 16.4 (Reflexive computation).

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Example 16.5 (Fixed-point combinator).

$$\textit{Fix} \equiv$$



Examples of polymorphic expressions

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Examples of polymorphic expressions

Example 16.4 (Reflexive computation).

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Example 16.5 (Fixed-point combinator).

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Type reconstruction

Higher-Order Polymorphic Lambda Calculus (HPSTLC,
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Motivation



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Problem

- ▶ Polymorphic identity function, on objects of a type built using 1-ary **type constructors** e.g., *List*:

$$f \equiv \lambda C. \lambda X. \lambda x : (C\ X). x : \forall C. \forall X. ((C\ X) \rightarrow (C\ X))$$



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- ▶ C stands for a 1-ary **type constructor**, X stands for a type of program values i.e., a ***proper type***



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- ▶ *C* stands for a 1-ary **type constructor**, *X* stands for a type of program values i.e., a **proper type**
- ▶ Monomorphic identity function for type (*List* \mathbb{N}):

$$f[*List*][\mathbb{N}] \rightarrow \lambda x : (*List* \mathbb{N}). x : ((*List* \mathbb{N}) \rightarrow (*List* \mathbb{N}))$$



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- ▶ Monomorphic identity function for type (*List* \mathbb{N}):

$$f[\text{List}][\mathbb{N}] \rightarrow \lambda x : (\text{List}\ \mathbb{N}). x : ((\text{List}\ \mathbb{N}) \rightarrow (\text{List}\ \mathbb{N}))$$

- ▶ How do we prevent **erroneous** situations e.g., $f[\mathbb{N}][\mathbb{N}]$, $f[\text{List}][\text{List}]$?



Solution

- ▶ Two categories of types: **proper types**, and **type constructors** i.e., $\lambda \text{TypeVar. Type}$



Solution

- ▶ Two categories of types: **proper types**, and **type constructors** i.e., $\lambda \text{TypeVar. Type}$
- ▶ **Type** not only program variables, but also **type variables**



Solution

- ▶ Two categories of types: **proper types**, and **type constructors** i.e., $\lambda \text{TypeVar. Type}$
- ▶ **Type** not only program variables, but also **type variables**
- ▶ The type of a type: **kind**



Kinds

Notation

- ▶ The kind of a **proper type**: *



Kinds

Notation

- ▶ The kind of a **proper type**: $*$
- ▶ The kind of a **1-ary type constructor**: $(* \Rightarrow *)$



Kinds

Notation

- ▶ The kind of a **proper type**: $*$
- ▶ The kind of a **1-ary type constructor**: $(* \Rightarrow *)$
- ▶ The kind of an **n -ary type constructor**, $n \geq 1$: $k_1 \Rightarrow k_2$



Kinds

Notation

- ▶ The kind of a **proper type**: $*$
- ▶ The kind of a **1-ary type constructor**: $(* \Rightarrow *)$
- ▶ The kind of an **n -ary type constructor**, $n \geq 1$: $k_1 \Rightarrow k_2$
- ▶ The kind k of a **type** τ : $\tau :: k$



Kinds

Examples

Example 18.1 (Kinds).

- ▶ \mathbb{N}



Kinds

Examples

Example 18.1 (Kinds).

▶ $\mathbb{N} :: *$

▶ *List*



Kinds

Examples

Example 18.1 (Kinds).

- ▶ $\mathbb{N} :: *$
- ▶ $List :: (* \Rightarrow *)$
- ▶ $f \equiv \lambda C :: (* \Rightarrow *). \lambda X :: *. \lambda x : (C X). x$



Kinds

Examples

Example 18.1 (Kinds).

▶ $\mathbb{N} :: *$

▶ $List :: (* \Rightarrow *)$

▶ $f \equiv \lambda C :: (* \Rightarrow *). \lambda X :: *. \lambda x : (C X). x$
 $f : \forall C :: (* \Rightarrow *). \forall X :: *. ((C X) \rightarrow (C X))$



Levels of expressions



Levels of expressions

Expressions



Levels of expressions

0

Expressions



Levels of expressions

0 (0, *True*)

Expressions



Levels of expressions

0 (0, *True*) [][N]

Expressions



Levels of expressions

0 $(0, \textit{True})$ $[][\mathbb{N}]$ $\lambda x : \mathbb{N}. x$

Expressions



Levels of expressions

0 $(0, \text{True})$ $[][\mathbb{N}]$ $\lambda x : \mathbb{N}. x$ $\lambda X :: *. \lambda x : X. x$
Expressions



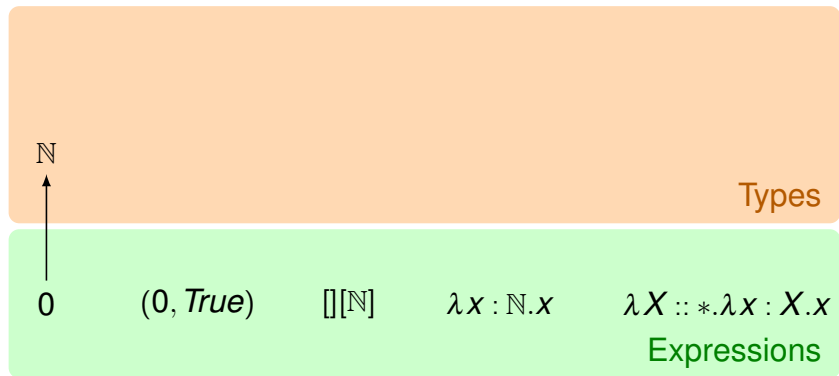
Levels of expressions

Types

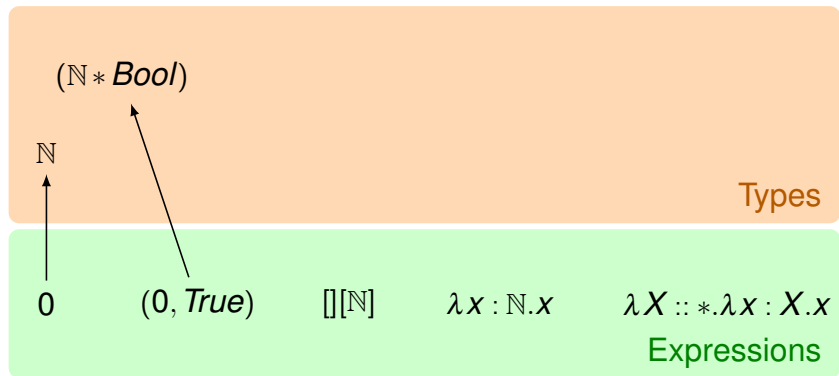
0 $(0, \text{True})$ $[][\mathbb{N}]$ $\lambda x : \mathbb{N}. x$ $\lambda X :: *. \lambda x : X. x$
Expressions



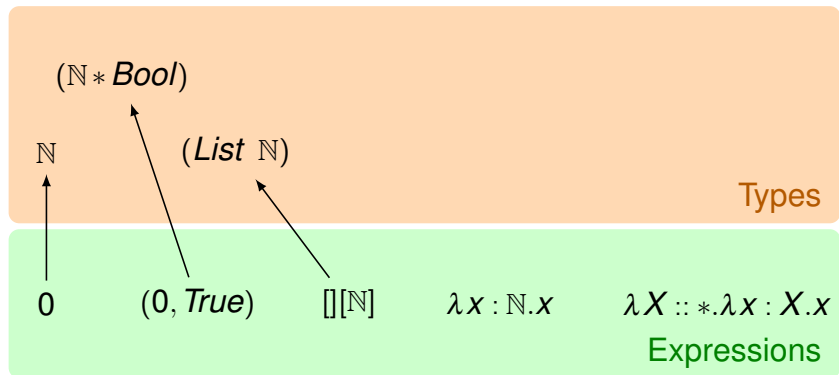
Levels of expressions



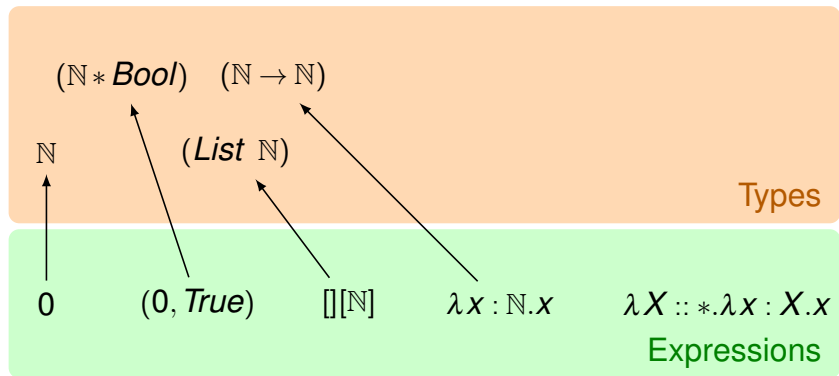
Levels of expressions



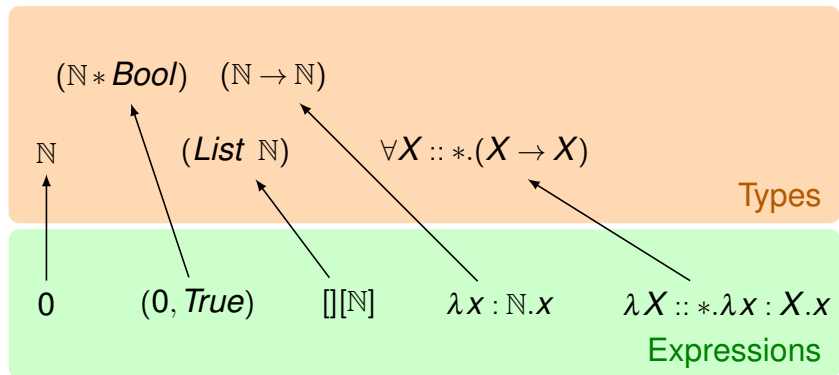
Levels of expressions



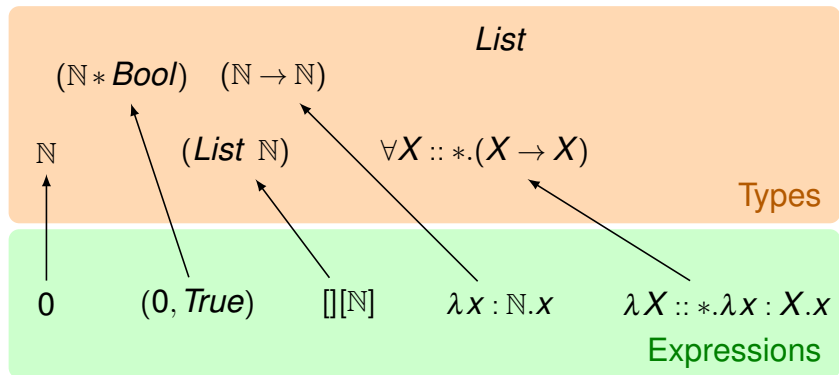
Levels of expressions



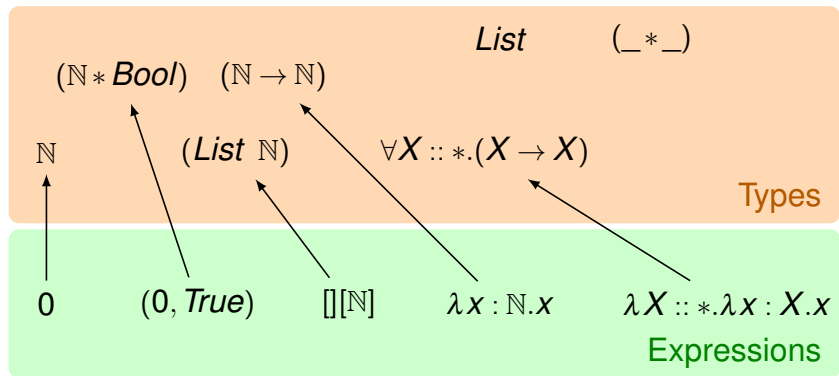
Levels of expressions



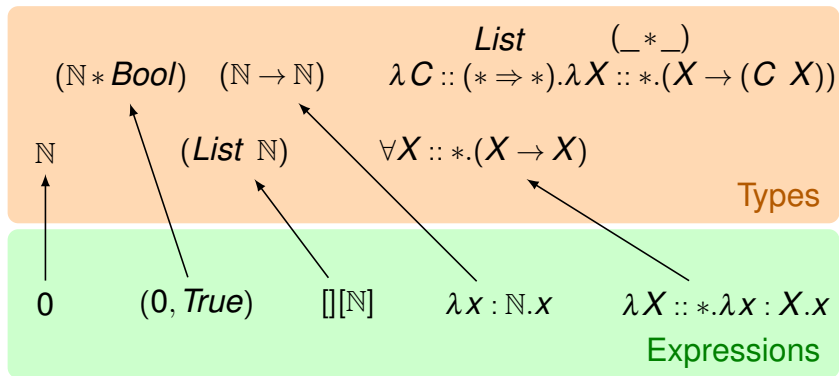
Levels of expressions



Levels of expressions



Levels of expressions



Levels of expressions

Kinds

$(\mathbb{N} * \mathit{Bool})$ $(\mathbb{N} \rightarrow \mathbb{N})$ $\mathit{List} \quad (_ * _)$
 $\lambda C :: (* \Rightarrow *) . \lambda X :: *. (X \rightarrow (C X))$

\mathbb{N}

$(\mathit{List} \ \mathbb{N})$

$\forall X :: *. (X \rightarrow X)$

Types

0

$(0, \mathit{True})$

$[[\mathbb{N}]]$

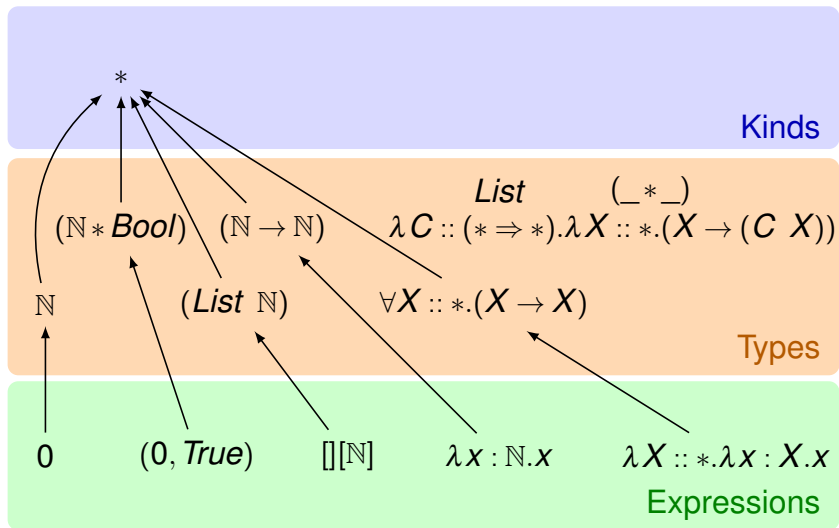
$\lambda x : \mathbb{N} . x$

$\lambda X :: *. \lambda x : X . x$

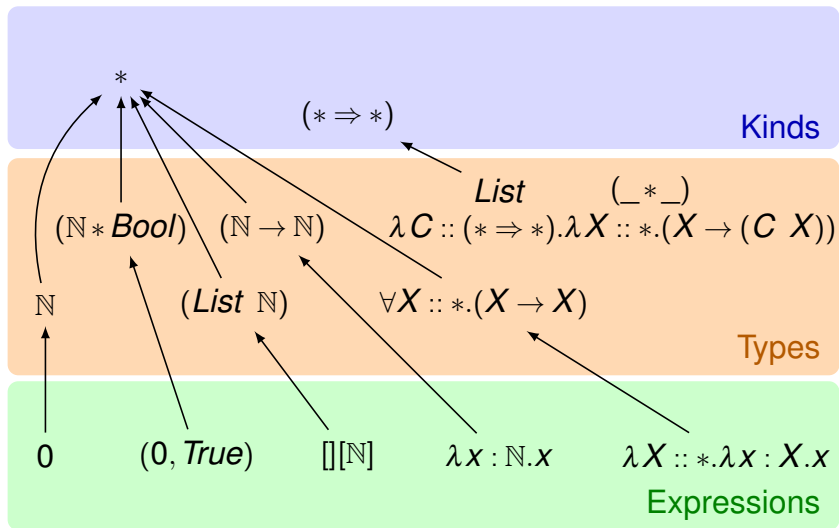
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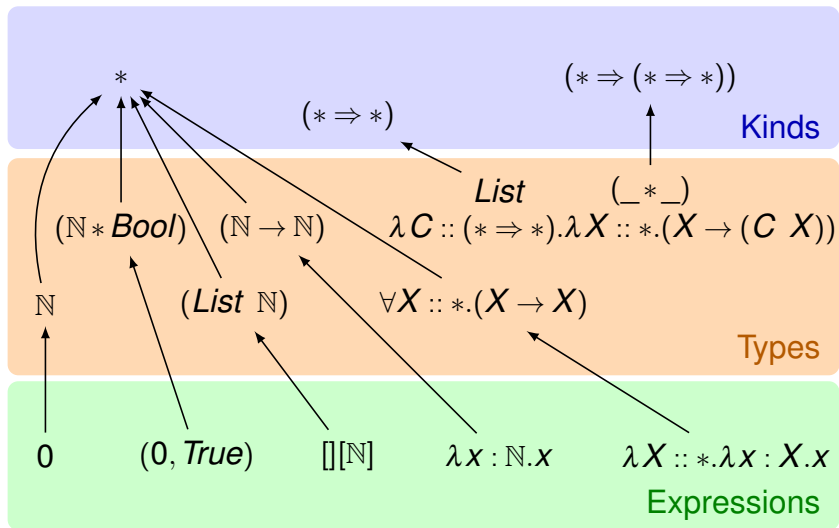
Levels of expressions



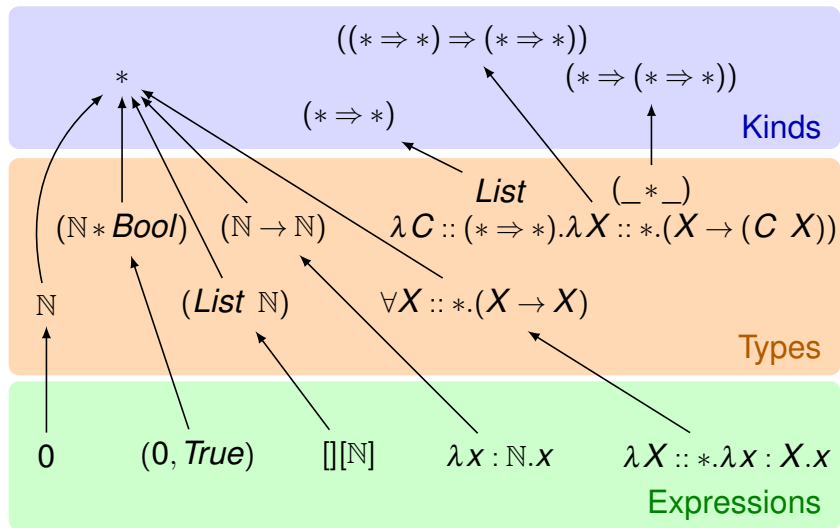
Levels of expressions



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Levels of expressions



Type equivalence

- ▶ Two syntactically **distinct** types:

$$\tau_1 \equiv ((List \ \mathbb{N}) \rightarrow (List \ \mathbb{N}))$$

$$\tau_2 \equiv (\lambda X :: *. ((List \ X) \rightarrow (List \ X)) \ \mathbb{N})$$



Type equivalence

- ▶ Two syntactically **distinct** types:

$$\tau_1 \equiv ((List\ \mathbb{N}) \rightarrow (List\ \mathbb{N}))$$

$$\tau_2 \equiv (\lambda X :: *. ((List\ X) \rightarrow (List\ X))\ \mathbb{N})$$

- ▶ Semantically, they denote the **same** type i.e., they are **equivalent**: $\tau_1 \equiv \tau_2$



Syntax

- ▶ Expressions:

$$\begin{aligned} \textit{Expr} & ::= \textit{Value} \\ & | \textit{Var} \\ & | (\textit{Expr} \textit{Expr}) \\ & | \textit{Expr}[\textit{Type}] \end{aligned}$$


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- ▶ Expressions:

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- ▶ Values:

$$\begin{aligned} \textit{Value} & ::= \textit{BaseValue} \\ & | \lambda \textit{Var} : \textit{Type}. \textit{Expr} \\ & | \lambda \textit{TypeVar} :: \textit{Kind}. \textit{Expr} \end{aligned}$$


Syntax

- ▶ Types:

$Type ::= BaseType$
| $TypeVar$
| $(Type \rightarrow Type)$
| $\forall TypeVar :: Kind.Type$
| $\lambda TypeVar :: Kind.Type$
| $(Type Type)$



Syntax

- ▶ Types:

$$\begin{array}{l} \textit{Type} ::= \textit{BaseType} \\ | \textit{TypeVar} \\ | (\textit{Type} \rightarrow \textit{Type}) \\ | \forall \textit{TypeVar} :: \textit{Kind}. \textit{Type} \\ | \lambda \textit{TypeVar} :: \textit{Kind}. \textit{Type} \\ | (\textit{Type} \ \textit{Type}) \end{array}$$

- ▶ Typing contexts:

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Syntax

► Kinds:

$$\begin{array}{l} \textit{Kind} ::= * \\ | (\textit{Kind} \Rightarrow \textit{Kind}) \end{array}$$


Semantics

Evaluation

► *Reduce*₁:

$$(\lambda x : \tau. e \ e') \rightarrow e_{[e'/x]}$$



Semantics

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- ▶ *Reduce*₁:

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Semantics

Typing

► $TAbs_2$:

$$\frac{\Gamma, X :: K \vdash e : \tau}{\Gamma \vdash \lambda X :: K. e : \forall X :: K. \tau}$$



Semantics

Typing

- ▶ $TAbs_2$:

$$\frac{\Gamma, X :: K \vdash e : \tau}{\Gamma \vdash \lambda X :: K. e : \forall X :: K. \tau}$$

- ▶ $TApp_2$:

$$\frac{\Gamma \vdash e : \forall X :: K. \tau \quad \Gamma \vdash \tau' :: K}{\Gamma \vdash e[\tau'] : \tau_{[\tau'/X]}}$$



Semantics

Kinding

- ▶ *KBaseType*:

$$\Gamma \vdash \tau_b :: *$$



Semantics

Kinding

- ▶ *KBaseType*:

$$\Gamma \vdash \tau_b :: *$$

- ▶ *KTypeVar*:

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Semantics

Kinding

- ▶ *KBaseType*:

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- ▶ *KTypeVar*:

$$\frac{X :: K \in \Gamma}{\Gamma \vdash X :: K}$$

- ▶ *KTypeAbs*:

$$\frac{\Gamma, X :: K \vdash \tau :: K'}{\Gamma \vdash \lambda X :: K. \tau :: (K \Rightarrow K')}$$



Semantics

Kinding

- ▶ *KBaseType*:

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$$\frac{X :: K \in \Gamma}{\Gamma \vdash X :: K}$$

- ▶ *KTypeAbs*:

$$\frac{\Gamma, X :: K \vdash \tau :: K'}{\Gamma \vdash \lambda X :: K. \tau :: (K \Rightarrow K')}$$

- ▶ *KTypeApp*:

$$\frac{\Gamma \vdash \tau :: (K' \Rightarrow K) \quad \Gamma \vdash \tau' :: K'}{\Gamma \vdash (\tau \tau') :: K}$$



Semantics

Kinding

► $KAbs_1$:

$$\frac{\Gamma \vdash \tau :: * \quad \Gamma \vdash \tau' :: *}{\Gamma \vdash (\tau \rightarrow \tau') :: *}$$



Semantics

Kinding

- ▶ $KAbs_1$:

$$\frac{\Gamma \vdash \tau :: * \quad \Gamma \vdash \tau' :: *}{\Gamma \vdash (\tau \rightarrow \tau') :: *}$$

- ▶ $KAbs_2$:

$$\frac{\Gamma, X :: K \vdash \tau :: *}{\Gamma \vdash \forall X :: K. \tau :: *}$$



Semantics

Type equivalence

- ▶ *EqReflexivity*:

$$\tau \equiv \tau$$



Semantics

Type equivalence

- ▶ *EqReflexivity*:

$$\tau \equiv \tau$$

- ▶ *EqSymmetry*:

$$\frac{\tau \equiv \tau'}{\tau' \equiv \tau}$$



Semantics

Type equivalence

- ▶ *EqReflexivity*:

$$\tau \equiv \tau$$

- ▶ *EqSymmetry*:

$$\frac{\tau \equiv \tau'}{\tau' \equiv \tau}$$

- ▶ *EqTransitivity*:

$$\frac{\tau \equiv \tau' \quad \tau' \equiv \tau''}{\tau \equiv \tau''}$$



Semantics

Type equivalence

- ▶ *EqReflexivity*:

$$\tau \equiv \tau$$

- ▶ *EqSymmetry*:

$$\frac{\tau \equiv \tau'}{\tau' \equiv \tau}$$

- ▶ *EqTransitivity*:

$$\frac{\tau \equiv \tau' \quad \tau' \equiv \tau''}{\tau \equiv \tau''}$$

- ▶ *EqTypeReduce*:

$$(\lambda X :: K. \tau \ \tau') \equiv \tau_{[\tau'/X]}$$



Semantics

Type equivalence

- ▶ *EqTypeAbs*:

$$\frac{\tau \equiv \tau'}{\lambda X :: K.\tau \equiv \lambda X :: K.\tau'}$$



Semantics

Type equivalence

- ▶ *EqTypeAbs*:

$$\frac{\tau \equiv \tau'}{\lambda X :: K. \tau \equiv \lambda X :: K. \tau'}$$

- ▶ *EqTypeApp*:

$$\frac{\tau \equiv \tau' \quad \sigma \equiv \sigma'}{(\tau \ \sigma) \equiv (\tau' \ \sigma')}$$



Semantics

Type equivalence

- ▶ *EqTypeAbs*:

$$\frac{\tau \equiv \tau'}{\lambda X :: K. \tau \equiv \lambda X :: K. \tau'}$$

- ▶ *EqTypeApp*:

$$\frac{\tau \equiv \tau' \quad \sigma \equiv \sigma'}{(\tau \ \sigma) \equiv (\tau' \ \sigma')}$$

- ▶ *EqAbs₁*:

$$\frac{\tau \equiv \tau' \quad \sigma \equiv \sigma'}{(\tau \rightarrow \sigma) \equiv (\tau' \rightarrow \sigma')}$$



Semantics

Type equivalence

- ▶ *EqTypeAbs*:

$$\frac{\tau \equiv \tau'}{\lambda X :: K. \tau \equiv \lambda X :: K. \tau'}$$

- ▶ *EqTypeApp*:

$$\frac{\tau \equiv \tau' \quad \sigma \equiv \sigma'}{(\tau \ \sigma) \equiv (\tau' \ \sigma')}$$

- ▶ *EqAbs₁*:

$$\frac{\tau \equiv \tau' \quad \sigma \equiv \sigma'}{(\tau \rightarrow \sigma) \equiv (\tau' \rightarrow \sigma')}$$

- ▶ *EqAbs₂*:

$$\frac{\tau \equiv \tau'}{\forall X :: K. \tau \equiv \forall X :: K. \tau'}$$



Semantics

Type equivalence

- ▶ *TypeEquivalence*:

$$\frac{\Gamma \vdash e : \tau \quad \tau \equiv \tau'}{\Gamma \vdash e : \tau'}$$



Kinding example

Example 18.2 (Kinding).

$$\forall X :: *. (X \rightarrow ((List\ X) \rightarrow (Tree\ X)))$$



Kinding example

Example 18.2 (Kinding).

$$\forall X :: *. (X \rightarrow ((List\ X) \rightarrow (Tree\ X))) :: *$$

Blackboard!



Part V

Constructive Type Theory



Contents

Constructive paradigm

Syntax and semantics



Contents

Constructive paradigm

Syntax and semantics



Classical logic

- ▶ Example: prove $\exists x.P(x)$



Classical logic

- ▶ Example: prove $\exists x.P(x)$
- ▶ Perhaps, proof by contradiction: assume $\neg\exists x.P(x)$ and reach a contradiction



Classical logic

- ▶ Example: prove $\exists x.P(x)$
- ▶ Perhaps, proof by contradiction: assume $\neg\exists x.P(x)$ and reach a contradiction
- ▶ Assumption: $\exists x.P(x) \vee \neg\exists x.P(x)$
(law of excluded middle)



Classical logic

- ▶ Example: prove $\exists x.P(x)$
- ▶ Perhaps, proof by contradiction: assume $\neg\exists x.P(x)$ and reach a contradiction
- ▶ Assumption: $\exists x.P(x) \vee \neg\exists x.P(x)$
(law of excluded middle)
- ▶ Problem: possibly **no** actual evidence regarding either sentence i.e., some a s.t. either $P(a)$ or $\neg P(a)$ is true



Constructive logic

- ▶ Prove $\exists x.P(x)$ by **computing** an object a s.t. $P(a)$ is true



Constructive logic

- ▶ Prove $\exists x.P(x)$ by **computing** an object a s.t. $P(a)$ is true
- ▶ **Not** always possible



Constructive logic

- ▶ Prove $\exists x.P(x)$ by **computing** an object a s.t. $P(a)$ is true
- ▶ **Not** always possible
- ▶ However, not being able to compute a does **not** mean that $\exists x.P(x)$ is false



Constructive logic

- ▶ Prove $\exists x.P(x)$ by **computing** an object a s.t. $P(a)$ is true
- ▶ **Not** always possible
- ▶ However, not being able to compute a does **not** mean that $\exists x.P(x)$ is false
- ▶ Law of excluded middle — **not** an axiom in constructive logic



Constructive type theory

- ▶ **Bridge** between constructive logic and typed lambda calculus



Constructive type theory

- ▶ **Bridge** between constructive logic and typed lambda calculus
- ▶ Correspondences:



Constructive type theory

- ▶ **Bridge** between constructive logic and typed lambda calculus
- ▶ Correspondences:
 - ▶ sentence \leftrightarrow type



Constructive type theory

- ▶ **Bridge** between constructive logic and typed lambda calculus
- ▶ Correspondences:
 - ▶ sentence \leftrightarrow type
 - ▶ logical connective \leftrightarrow type constructor



Constructive type theory

- ▶ **Bridge** between constructive logic and typed lambda calculus
- ▶ Correspondences:
 - ▶ sentence \leftrightarrow type
 - ▶ logical connective \leftrightarrow type constructor
 - ▶ proof \leftrightarrow function with that type

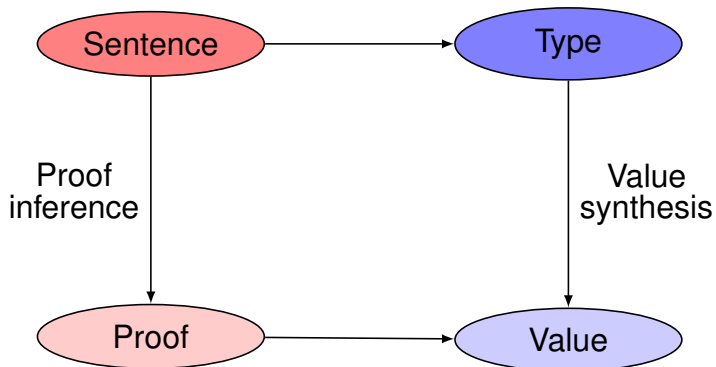


Constructive type theory

- ▶ **Bridge** between constructive logic and typed lambda calculus
- ▶ Correspondences:
 - ▶ sentence \leftrightarrow type
 - ▶ logical connective \leftrightarrow type constructor
 - ▶ proof \leftrightarrow function with that type
- ▶ Application: **synthesize** a program by proving the sentence that corresponds to its specification



The Curry-Howard isomorphism



Contents

Constructive paradigm

Syntax and semantics



Two views

$a : A$

- ▶ Type-theoretic: “ a is a value of type A ”
- ▶ Logical: “ a is a proof of sentence A ”



Definitional rules

Rule

| Logical view

| Type-theoretic view



Definitional rules

Rule	Logical view	Type-theoretic view
Formation	How a connective relates two sentences	How a type constructor is used



Definitional rules

Rule	Logical view	Type-theoretic view
Formation	How a connective relates two sentences	How a type constructor is used
Introduction/ elimination	How a proof is derived	How a value is constructed



Definitional rules

Rule	Logical view	Type-theoretic view
Formation	How a connective relates two sentences	How a type constructor is used
Introduction/ elimination	How a proof is derived	How a value is constructed
Computation	How a proof is simplified	How an expression is evaluated



Other logic-type correspondences

Logical view

| Type-theoretic view



Other logic-type correspondences

Logical view	Type-theoretic view
Truth (\top)	One-element type, containing the trivial proof



Other logic-type correspondences

Logical view	Type-theoretic view
Truth (\top)	One-element type, containing the trivial proof
Falsity (\perp)	No-element type, containing no proof



Other logic-type correspondences

Logical view	Type-theoretic view
Truth (\top)	One-element type, containing the trivial proof
Falsity (\perp)	No-element type, containing no proof
Proof by induction	Definition by recursion



Logical conjunction / product type constructor I

- ▶ Formation rule ($\wedge F$):

$$\frac{A \text{ is a sentence/ type} \quad B \text{ is a sentence/ type}}{A \wedge B \text{ is a sentence/ type}}$$

- ▶ Introduction rule ($\wedge I$):

$$\frac{a : A \quad b : B}{(a, b) : A \wedge B}$$



Logical conjunction / product type constructor II

- ▶ Elimination rules ($\wedge E_{1,2}$):

$$\frac{p : A \wedge B}{fst\ p : A}$$

$$\frac{p : A \wedge B}{snd\ p : B}$$

- ▶ Computation rules:

$$fst\ (a, b) \rightarrow a$$

$$snd\ (a, b) \rightarrow b$$



Logical implication / function type constructor I

- ▶ Formation rule ($\Rightarrow F$):

$$\frac{A \text{ is a sentence/ type} \quad B \text{ is a sentence/ type}}{A \Rightarrow B \text{ is a sentence/ type}}$$

- ▶ Introduction rule ($\Rightarrow I$)
(square brackets = discharged assumption):

$$\frac{\begin{array}{c} [x : A] \\ \vdots \\ b : B \end{array}}{\lambda x : A. b : A \Rightarrow B}$$



Logical implication / function type constructor II

- ▶ Elimination rule ($\Rightarrow E$):

$$\frac{a : A \quad f : A \Rightarrow B}{(f a) : B}$$

- ▶ Computation rule:

$$(\lambda x : A. b \ a) \rightarrow b_{[a/x]}$$



Logical disjunction / sum type constructor I

- ▶ Formation rule ($\vee F$):

$$\frac{A \text{ is a sentence/ type} \quad B \text{ is a sentence/ type}}{A \vee B \text{ is a sentence/ type}}$$

- ▶ Introduction rules ($\vee I_{1,2}$):

$$\frac{a : A}{inl \ a : A \vee B}$$

$$\frac{b : B}{inr \ b : A \vee B}$$



Logical disjunction / sum type constructor II

- ▶ Elimination rule ($\vee E$):

$$\frac{p : A \vee B \quad f : A \Rightarrow C \quad g : B \Rightarrow C}{\text{cases } p \ f \ g : C}$$

- ▶ Computation rules:

$$\text{cases } (\text{inl } a) \ f \ g \rightarrow f \ a$$

$$\text{cases } (\text{inr } b) \ f \ g \rightarrow g \ b$$



Absurd sentence / empty type \perp

- ▶ Formation rule ($\perp F$):

$$\frac{}{\perp \text{ is a sentence/ type}}$$

- ▶ Introduction rule: none — there is no proof of the absurd sentence



Absurd sentence / empty type II

- ▶ Elimination rule ($\perp E$)
(a proof of the absurd sentence can prove anything):

$$\frac{p : \perp}{\text{abort}_A p : A}$$

- ▶ Computation rule: none



Logical negation and equivalence

- ▶ Logical negation:

$$\neg A \equiv A \Rightarrow \perp$$

- ▶ Logical equivalence:

$$A \Leftrightarrow B \equiv (A \Rightarrow B) \wedge (B \Rightarrow A)$$



Example proofs

▶ $A \Rightarrow A$



Example proofs

- ▶ $A \Rightarrow A$
- ▶ $A \Rightarrow \neg\neg A$ (converse?)



Example proofs

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Example proofs

- ▶ $A \Rightarrow A$
- ▶ $A \Rightarrow \neg\neg A$ (converse?)
- ▶ $((A \wedge B) \Rightarrow C) \Rightarrow A \Rightarrow B \Rightarrow C$
- ▶ $(A \Rightarrow B) \Rightarrow (B \Rightarrow C) \Rightarrow (A \Rightarrow C)$
- ▶ $(A \Rightarrow B) \Rightarrow (\neg B \Rightarrow \neg A)$



Example proofs

- ▶ $A \Rightarrow A$
- ▶ $A \Rightarrow \neg\neg A$ (converse?)
- ▶ $((A \wedge B) \Rightarrow C) \Rightarrow A \Rightarrow B \Rightarrow C$
- ▶ $(A \Rightarrow B) \Rightarrow (B \Rightarrow C) \Rightarrow (A \Rightarrow C)$
- ▶ $(A \Rightarrow B) \Rightarrow (\neg B \Rightarrow \neg A)$
- ▶ $(A \vee B) \Rightarrow \neg(\neg A \wedge \neg B)$



Universal quantification / generalized function type constructor I

- ▶ Formation rule ($\forall F$)
(square brackets = discharged assumption):

$$\frac{\begin{array}{c} [x : A] \\ \vdots \\ A \text{ is a sentence/ type} \quad B \text{ is a sentence/ type} \end{array}}{(\forall x : A).B \text{ is a sentence/ type}}$$

- ▶ Introduction rule ($\forall I$):

$$\frac{\begin{array}{c} [x : A] \\ \vdots \\ b : B \end{array}}{(\lambda x : A).b : (\forall x : A).B}$$



Universal quantification / generalized function type constructor II

- ▶ Elimination rule ($\forall E$):

$$\frac{a : A \quad f : (\forall x : A).B}{(f \ a) : B_{[a/x]}}$$

- ▶ Computation rule:

$$((\lambda x : A).b \ a) \rightarrow b_{[a/x]}$$



Existential quantification / generalized product type constructor I

- ▶ Formation rule ($\exists F$)
(square brackets = discharged assumption):

$$\frac{\begin{array}{c} [x : A] \\ \vdots \\ A \text{ is a sentence/ type} \quad B \text{ is a sentence/ type} \end{array}}{(\exists x : A).B \text{ is a sentence/ type}}$$

- ▶ Introduction rule ($\exists I$):

$$\frac{a : A \quad b : B_{[a/x]}}{(a, b) : (\exists x : A).B}$$



Existential quantification / generalized product type constructor II

- ▶ Elimination rules ($\exists E_{1,2}$):

$$\frac{p : (\exists x : A).B}{Fst\ p : A}$$

$$\frac{p : (\exists x : A).B}{Snd\ p : B_{[Fst\ p/x]}}$$

- ▶ Computation rules:

$$Fst\ (a, b) \rightarrow a$$

$$Snd\ (a, b) \rightarrow b$$



Example proofs

▶ $(\forall x : A).(B \Rightarrow C) \Rightarrow (\forall x : A).B \Rightarrow (\forall x : A).C$



Example proofs

- ▶ $(\forall x : A).(B \Rightarrow C) \Rightarrow (\forall x : A).B \Rightarrow (\forall x : A).C$
- ▶ $(\exists x : X).\neg P \Rightarrow \neg(\forall x : X).P$ (converse?)



Example proofs

- ▶ $(\forall x : A).(B \Rightarrow C) \Rightarrow (\forall x : A).B \Rightarrow (\forall x : A).C$
- ▶ $(\exists x : X).\neg P \Rightarrow \neg(\forall x : X).P$ (converse?)
- ▶ $(\exists y : Y).(\forall x : X).P \Rightarrow (\forall x : X).(\exists y : Y).P$ (converse?)

